

# Research Projects Qualifying for Expedited & Standard (Full Board) Review

Note: Before proceeding to the application on the next page, please confirm that your research activities satisfy **one** of the following criteria. Otherwise, your project may qualify for Administrative or Limited (Exempt) Review-.

You should only fill this form out if your project involves any of the following:

- Research on an individual or group characteristics or behavior (perception, cognition, motivation, identity, language, communication, cultural beliefs or practices and social behavior) that do not satisfy the Exempt category (research involving public officials, anonymous data collection or less than minimal risk posed to subjects would be considered Exempt).
- Research is greater than minimal risk, meaning the assessment or intervention involves more than what subjects would encounter in normal everyday activities or the data has the potential to pose additional physical, psychological, criminal or social harms.
- Research involving vulnerable populations (i.e. children, pregnant women, fetuses, prisoners, undocumented individuals and other populations deemed vulnerable by the IRB).
- Identifiable data collection of individuals via voice, video or image recordings made for research purposes and the data collection may place subjects at increased risk.
- Clinical trial involving a medical drug or device cleared for use by the FDA.
- Collection of blood samples by finger prick or venipuncture under the following conditions:
  - Healthy, non-pregnant adults weighing at least 110 pounds. Amount of blood collection cannot exceed 550 ml with 8 weeks (no more than two blood draws per week).
  - Other adults and children (with consideration for age, weight and overall health). Amount of blood collection cannot exceed 50 ml with 8 weeks (no more than two blood draws per week).
- Prospective collection of biological samples by non-invasive means.
- Collection of data by non-invasive means in a clinical setting with the use of FDA cleared/approved medical devices (excluding X-ray).

**PLEASE DELETE THIS PAGE BEFORE SUBMISSION**

# IRB Application for Expedited and Standard Review

Projects involving minimal or greater than minimal risk

**Instructions:** The Principal Investigator (PI) is responsible for all information in this form. The PI should fill out the form completely; incomplete forms will be returned. Use as much space as necessary to answer each question adequately. **Please upload the completed application and all relevant appendices to IRBNet ([www.irbnet.org](http://www.irbnet.org)).**

**INITIAL SUBMISSION DOCUMENTS:** (1) IRB Application, (2) Informed Consent form(s), and (3) Appendices (relevant documents such as faculty advisor letter, permission letter(s), informed consent forms, recruitment material(s), etc.).

**IMPORTANT:** NO ACTIVITY MAY BEGIN ON THIS PROJECT UNTIL THE PRINCIPAL INVESTIGATOR HAS RECEIVED FORMAL NOTIFICATION FROM THE CSULB IRB THAT THE PROJECT HAS BEEN APPROVED BY THE IRB. In addition, the IRB will confirm that all individuals listed on this project have successfully completed the CITI online training for the Social & Behavioral Basic/Refresher course before issuing final approval ([www.citiprogram.org](http://www.citiprogram.org)).

## Investigator Information

### 1. Basic Information

<b>Principal Investigator (Name)</b>	
<b>CITI Member ID #</b>	
<b>Telephone Number</b>	
<b>Email</b>	
<b>Department</b>	
<b>Affiliation with CSULB</b>	<input type="checkbox"/> Student* <input type="checkbox"/> Faculty <input type="checkbox"/> Staff <input type="checkbox"/> External PI <input type="checkbox"/> Other:
<i>*If you are a student, please fill out the information for your faculty advisor in the next section and attach a signed permission letter from your advisor as an appendix to your project in IRBNet.</i>	

### 2. PI Qualifications

**Describe any training, certification, experience, or expertise relevant to this research. Also, explain your relationship or affiliation with any organization, course or group involved in with the project.**

**Example:** I am the 8<sup>th</sup> grade science teacher at Adams Middle School and all 8<sup>th</sup> grade students, including my own. will participate in the research.

## Faculty Advisor

### 3. Faculty Advisor Information

☐ Not Applicable. I am not a student.

<b>Faculty Advisor (Name)</b>	
<b>Email</b>	
<b>CITI Member ID #</b>	

☐ I have attached a signed and completed letter from my faculty advisor as an appendix to this project in IRBNet.

## Additional Personnel

### 4. Co-Investigator

☐ Not Applicable

<b>Co-Investigator (Name)</b>	
<b>CITI Member ID</b>	
<b>Email</b>	
<b>Affiliation to CSULB</b>	<input type="checkbox"/> Student <input type="checkbox"/> Faculty <input type="checkbox"/> Staff <input type="checkbox"/> External PI <input type="checkbox"/> Other

### 5. Research Assistants

☐ Not Applicable

<p><b>List the name, CITI Member ID #, and email for each research assistant or key personnel. Listing the faculty advisor is <i>not required</i>, unless they are involved in the research procedures.</b></p>

## Project Overview

### 6. Project Title

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### 7. Purpose/Abstract

<p><b>Describe the purpose of the project and the project aims. Provide background context to the project by explaining how the findings of this project will contribute to areas within research literature that are insufficient or detail, which groups/populations may benefit from the research. Define any acronyms used for the first time.</b></p>
<p><b>What body of knowledge will the results of this project contribute to? (Click all that apply):</b></p>
<p><input type="checkbox"/> Thesis <input type="checkbox"/> Dissertation <input type="checkbox"/> Research Publication <input type="checkbox"/> CSU Provost <input type="checkbox"/> Agency Improvement <input type="checkbox"/> Academic Department <input type="checkbox"/> Personal Knowledge <input type="checkbox"/> Other (Explain):</p>

### 8. Expected Project Duration

<b>Estimated Start Date</b>	
<b>Estimated End Date*</b>	

*\*This field is important because this project may not require continuing review unless the risks are greater than minimal therefore; the IRB requires an estimated project duration to determine the project status on an annual basis.*

## Subject Recruitment & Informed Consent

### 9. Subject Population & Inclusion/Exclusion Criteria

<b>Total number of subjects (Describe subject groups or insert table to outline the subject population):</b>		
<b>Select the general population group (Select all that apply):</b>		
<input type="checkbox"/> Gender not relevant <input type="checkbox"/> Males Only <input type="checkbox"/> Females Only <input type="checkbox"/> Transgender <input type="checkbox"/> Binary Gender <input type="checkbox"/> Other (Explain):	<input type="checkbox"/> Seniors (over 65) <input type="checkbox"/> Children (under 18) <input type="checkbox"/> Non-English Speakers <input type="checkbox"/> Vulnerable population (victims of crimes, homeless, cognitively impaired, disabled, prisoners, undocumented immigrants)	
<b>Define any other characteristics of the subject populations (language requirements, enrollment or membership in any organization, job roles, students at institution, etc.). Insert charts if necessary.</b>		

### 10. Subject Recruitment

<b>Select the method(s) of recruitment (Check all that apply):</b>	
<input type="checkbox"/> Personal Network/Snowball Sampling <input type="checkbox"/> Flyers <input type="checkbox"/> Social Media Post <input type="checkbox"/> Telephone	<input type="checkbox"/> Subject Pool (SONA or department pool) <input type="checkbox"/> In Person <input type="checkbox"/> Letter <input type="checkbox"/> Other (Explain):
Describe the step-by-step method of recruiting participants with each method selected above. Clearly define recruitment methods for different subject groups if the recruitment methods differ.	

☐ I have attached all relevant recruitment material (flyers, email/phone/verbal announcement script, social media post, etc.) as an appendix to this project in IRBNet.

### 11. Informed Consent Documentation

Identify the process of obtaining informed consent (Please check ONE method & ONE justification):	
METHOD OF CONSENT	JUSTIFICATION
<input type="checkbox"/> Written informed consent, with signature (this also includes child assent)	<input type="checkbox"/> Normal circumstances
<input type="checkbox"/> Oral informed consent*	<input type="checkbox"/> Rare and vulnerable populations (illiterate, minimal English speaking, culturally sensitive groups, etc.) <input type="checkbox"/> Subject has a Legal Authorized Representative

<input type="checkbox"/> Requesting a waiver of documentation of informed consent*	<input type="checkbox"/> Linking the subject to the research via consent form will cause potential harm  <input type="checkbox"/> The research presents no more than minimal risk of harm (procedures do not surpass normal everyday activities). "Implied consent" for online or anonymous surveys.
<input type="checkbox"/> Requesting a waiver of the informed consent process*	<input type="checkbox"/> The research cannot practicability be carried out without the waiver or alteration, <u>AND</u> the research will not adversely affect the rights and welfare of subjects, <u>AND</u> the research involves no more than minimal risk to subjects (all conditions must be met for this justification).

*\*In cases where written informed consent will not be obtained, the IRB requires an informed notice to be issued or read to participants. Please attach the notice as an appendix to your project in IRBNet. The IRB will make the final determination whether to grant any waiver or alteration of the informed consent process.*

## 12. Informed Consent Process

**Describe the step-by-step process for obtaining informed consent or issuing an informed notice to subjects. For multiple subject groups, distinguish the methods for obtaining consent from each group. If the process is identical for each subject group, please state so. Do not include any data collecting steps in this section since data collection should not be conducted prior to informed consent.**

## Procedures & Methodology

## 13. Location of Research Activities

**List the location(s) and setting(s) of the research activities**

**Location (i.e. names of schools, non-profit or government agencies, businesses, hospitals, parks, etc.)**

**Setting(s) (Check all that apply):**

☐ Online (Qualtrics, Survey Monkey, Google Survey) ☐ One-on-one ☐ Public area ☐ Focus Group Setting ☐ Virtual (Skype, Zoom, email) ☐ Telephone ☐ Lab ☐ Classroom ☐ Other (Explain):

**Is the research international (outside the U.S)?**

☐ Yes \* ☐ No

*\*If yes, please describe any qualifications you have working in that country as a researcher and confirm that you will comply with the local research regulation. Attach any relevant permissions to conduct research in that country as an appendix to your project in IRBNet.*

## 14. Research Methodology

**List all assessments used in the research (Check all that apply):**

☐ Paper-based survey  
☐ Wearable devices/sensors  
☐ Secondary data analysis  
☐ Public observation  
☐ Classroom observation  
☐ Taste Test  
☐ Video recording  
☐ Audio recording  
☐ Blood draw  
☐ Review of course assignments  
☐ Salvia test

☐ Online survey  
☐ Interview  
☐ Focus group  
☐ Weight lifting (minimal)  
☐ Weight lifting (maximum)  
☐ Running/Walking  
☐ Vital signs  
☐ Review/access to educational records  
☐ Other (explain) :

**Describe the step-by-step process for research activities *AFTER* informed consent is obtained. Use as much space necessary to thoroughly describe all research activities. Include the URL to any online survey, video, or online activity in this section.**

**Do the research activities involve deception or manipulation?**

☐ Yes\* ☐ No

**\*If yes, describe whether you will reveal the deception or manipulation in a debriefing to subjects.**

### 15. Subject Compensation

Will subjects receive any form of compensation for participating in this project?

☐ Yes\* ☐ No

**\*If yes, what form of compensation will subjects receive?**

☐ Cash ☐ Gift Card ☐ mTurk credit ☐ Extra credit  
☐ Course credit (required)  
☐ Other (explain):

**Total amount:**

**\*If yes, when will subjects be compensated?**

☐ After subjects completes all research activities  
☐ After subjects complete a portion of research activities (define conditions):  
☐ Subjects' name is selected from a drawing/raffle  
☐ Other (explain):

**\*If yes, describe any conditions that would deny/disqualify subjects from receiving compensation?**

## Risks & Benefits

### 16. Potential Risks

**Describe the potential risks, harms, discomfort or inconvenience associated with the research activities. Stating there is no risk, is unacceptable. Number each risk (Risk #1, Risk #2, etc.).**

### 17. Mitigation of Potential Risks

**Describe the methods of mitigation (protection against risk and harm) for each potential risk listed above. Each mitigation should correspond to the numbered potential risk above (Mitigation for Risk #1, Mitigation for Risk #2, etc.). In the event of injury or emotional distress, outline a plan to refer subjects to appropriate medical/professional services and a plan for reporting adverse events to the IRB.**

### 18. Potential Benefits

List any direct benefits subjects can anticipate from participating in the research (do not list any forms of compensation because compensation is not a benefit). Subject compensation is addressed in Section 15. If there are not direct benefits to subjects, please state so.

List any potential benefits or contributions to the field of study, existing body of research or society the results of this may generate. Stating there are no benefits is not acceptable; otherwise, this project has no merit.

## Data Management

### 19. Data Storage

List the location AND method of storage of raw data (consent forms, transcripts, recordings, etc.) for this project (Check all that apply):

LOCATION	METHOD OF STORAGE
<input type="checkbox"/> PI's home <input type="checkbox"/> PI's work office <input type="checkbox"/> Faculty office at CSULB <input type="checkbox"/> Other (explain):	<input type="checkbox"/> Locked file cabinet <input type="checkbox"/> Password protected computer <input type="checkbox"/> External hard drive <input type="checkbox"/> Cloud-based storage <input type="checkbox"/> Other (explain):

### 20. Data Access

List all individuals that will have access to the data for this project:

### 21. Data Destruction

Please certify your plan to maintain the raw data and records for this project for 3 years (IRB policy) or state your alternative and/or provide a valid justification. (Check one):

- ☐ I certify that I will maintain the raw data and records for this project in a secure location and destroy the data after 3 years.
- ☐ I will not maintain and store the raw data and records for this project for 3 years. My justification and plan to store and destroy the project data and records:

## Collaboration and Funding

### 22. Collaboration

Is this project a collaboration with an external investigator or institution? (Select one): ☐ Yes\* ☐ No

\*If yes, list the name(s) and institutional affiliation of the collaborator(s):

Are you the lead investigator for this collaboration? (Select one): ☐ Yes ☐ No\*

\*If no, please include a copy of the IRB Approval and or protocol from the other affiliate as an appendix to your project in IRBNet.

### 23. Funding

Is the project funded? (If no, skip to Section 24) ☐ Yes ☐ No

Name of Funding Agency:

Grant Title or Number:

Funding Dates:

Start:

End:

## Appendices

### 24. Appendices

**List and label all appendices included with this initial submission (consent forms, permission letters, recruitment material, interview and survey questions, etc.):**

## Investigator Assurance

**As the Principal Investigator (PI) for this project, I certify the following (please check and confirm all):**

- ☐ All subjects in this project will be afforded the same rights and protections.
- ☐ All provisions to maintain subject confidentiality and protect subject data will be upheld.
- ☐ The CITI online training is complete for myself and all personnel listed. Training will remain valid for the duration of research activity.
- ☐ Research activities will be conducted as described in the approved version of this application.
- ☐ Any modifications, including personnel changes to this project, will be submitted, reviewed, and approved by the CSULB IRB prior to implementation.
- ☐ All adverse events will be reported to the CSULB IRB within 48 hours of being made aware of the event.
- ☐ I will comply with the CSULB IRB research policies (<https://www.csulb.edu/office-of-research-and-economic-development/research-related-policies>).
- ☐ I will comply with the Department of Health and Human Services (HHS) research policy and regulation (<https://www.hhs.gov/ohrp/regulations-and-policy/regulations/45-cfr-46/index.html>).

Name:

Date: MM/DD/YYYY