

## Directions

Make sure name is on all pages. Order pages (front and back) so that solutions are presented in their original numerical order. **Please no staples or folding of corners (your papers won't get lost). A paper clip is OK** Show all necessary work and substantiate all claims. Avoid plagiarism.

## Problems

1. An instance of the **Zero** decision problem is a Gödel number  $x$  and the problem is to decide if  $P_x$  outputs 0 on every input. Let  $d_{\text{Zero}}(x)$  be the decision function for **Zero** and consider the following antagonist function  $g(x)$  which diagonalizes against all computable functions in an attempt to contradict the assumption that  $d_{\text{Zero}}(x)$  is total computable.

$$g(x) = \begin{cases} 1 & \text{if } d_{\text{Zero}}(x) = 1 \\ 0 & \text{otherwise} \end{cases}$$

Has the antagonist succeeded? In other words, based on  $g$ 's definition, may we conclude that  $d_{\text{Zero}}(x)$  cannot be total computable? Explain. (20 pts)

**Solution.** Let  $e$  be the Gödel number for a program  $P_e$  that computes  $g(x)$  and consider  $g(e)$ . Case 1:  $g(e) = 1$ . This would mean  $d_{\text{Zero}}(e) = 1$  which is false since  $g(e) \neq 0$  for some inputs (since there do in fact exist programs that always output 0). Now suppose  $g(e) = 0$ . This means that  $g(x)$  does not always output 0, which is correct! Therefore,  $g$ 's definition does not result in a contradiction.  $\square$

2. An instance of the problem **Identity** decision problem is a Gödel number  $x$ , and the problem is to decide if  $P_x(i) = i$  for all  $i \geq 0$ . Prove that the **Zero** decision problem is Turing reducible to the **Identity** decision problem. Do this by writing a program that decides the **Zero** decision problem and is able to make calls to the function  $\text{query}_{\text{ID}}(x)$  which returns 1 iff  $x$  is a positive instance of **Identity**. Conclude that the **Identity** decision problem is undecidable (since the **Zero** problem was proved undecidable in lecture). (20 pts)

**Solution.** Consider the following function  $f(x, y)$ . On inputs  $x$  and  $y$ , simulate  $P_x(y)$ . If  $P_x(y) = 0$ , then output  $y$ . Otherwise, loop forever. By the Church-Turing thesis we know that  $f(x, y)$  is URM computable. Moreover, by the SMN-Theorem, there is a total computable function  $h(x)$  for which  $\phi_{h(x)}(y) = f(x, y)$ . Moreover, notice that  $\phi_{h(x)}(y)$  is the identity function iff  $d_{\text{Zero}}(x) = 1$ . Therefore, **Zero** is Turing reducible to **ID** since  $d_{\text{Zero}}(x) = 1$  iff  $d_{\text{ID}}(h(x)) = 1$ . This is actually a mapping reduction from **Zero** to **ID** via the function  $h(x)$ .  $\square$

3. Consider the following proposal for solving the equation  $\phi_e(y) = f(y, e)$ . In other words, the goal is to write a URM-program  $P$  that computes the unary function  $f(y)$  and where  $P$  is able

to make references to its own Gödel number  $e$ . To do this, the programmer assumes that at run time  $e$  will be placed in register  $R_2$ . Therefore, the programmer treats  $R_2$  as a “read only” register and reads from  $R_2$  whenever a computation involving  $e$  is desired. Prove or disprove: when using the above method, the equation  $\phi_e(y) = f(y, e)$  does in fact hold for all inputs  $y$  and all Gödel numbers  $e$  so long as  $P_e$  does not make use of the instructions:  $Z(2)$ ,  $S(2)$ , and  $T(i, 2)$  for any  $i \neq 2$ . (20 pts)

**Solution.** Consider the function  $f(y, x) = x$  which is computed by the program  $P = T(2, 1)$  which has Gödel number

$$2^{\beta(T(2,1))} - 1 = 2^6 - 1 = 63.$$

Then  $P_{63}(y) = 0$  for all  $y$ . However,  $f(y, 63) = 63$ , and so  $\phi_e(y) \neq f(y, e)$  for all  $y$ . The problem is that  $e = 63$  works very differently as a unary function than it does as a binary function. As a unary function, the value of register 2 is always initialized to 0. So, at run time the unary function being computed is *not*  $\phi_{63}(y)$  which returns 0 rather than the desired 63.

4. An instance of the decision problem **One** is a Gödel number  $x$ , and the problem is to decide if function  $\phi_x$  equals the **one** function, i.e. the function that outputs 1 on every input. Consider the decider function

$$d_{\text{one}}(x) = \begin{cases} 1 & \text{if } \phi_x(y) = 1 \text{ for all inputs } y \\ 0 & \text{otherwise} \end{cases}$$

- (a) Evaluate  $d_{\text{one}}(x)$  for each of the following Gödel number's  $x$ . Explain your reasoning. (3 pts each)
- $x = e_1$ , where  $e_1$  is the Gödel number of the program  $P = S(2), T(2, 1), J(1, 2, 1)$
  - $x = e_2$ , where  $e_2$  is the Gödel number of the program  $P = Z(1), S(1), S(2), J(1, 2, 1)$ .
  - $x = e_3$ , where  $e_3$  is the Gödel number of the program that computes  $d_{\text{one}}(x)$  (assuming it is URM computable).

**Solution.**  $d_{\text{one}}(e_1) = 0$  since  $P_{e_1}$  loops forever, but  $d_{\text{one}}(e_2) = 1$ , since  $P_{e_2}$  always halts with 1 in  $R_1$ .  $d_{\text{one}}(e_3) = 0$  since  $d_{\text{one}}(x) = P_{e_3}(x)$  sometimes outputs 0.

- (b) Prove that  $d_{\text{one}}(x)$  is not URM computable. In other words, there is no URM program that, on input  $x$ , always halts and either outputs 1 or 0, depending on whether or not  $\phi_x$  equals the **one** function. Do this by writing a program  $P$  that uses  $d_{\text{one}}(x)$  and makes use of the **self** programming concept. Then explain why  $P$  creates a contradiction. (15 pts)

**Solution.** Consider a program  $P$  which, on input  $y$ , computes  $d_{\text{one}}(\text{self})$ . If  $d_{\text{one}}(\text{self}) = 1$ , then  $P$  returns 0, contradicting the result of  $d_{\text{one}}(\text{self})$  (since  $0 \neq 1$ ). Otherwise, in case  $d_{\text{one}}(\text{self}) = 0$ , then  $P$  returns 1, again contradicting the result of  $d_{\text{one}}(\text{self})$  since in this case  $P$  would compute the constant function that always outputs 1.

5. Consider a model of computation  $\mathcal{M}$  that is similar to the URM model, but has more instructions and hence allows for more time-efficient computations. For example, there exists a univocal  $\mathcal{M}$ -program  $P_U$  which, for any program  $P_e$ , is capable of simulating a single step of  $P_e(x)$  in at most  $c_1 \log(x)$  steps, for  $x$  sufficiently large (in other words, the bound is guaranteed only for  $x \geq k$ , for some constant  $k \geq 1$ ). Moreover, an instance of the **Bounded Halting** problem is a pair  $(e, n)$  where  $e$  is the Gödel number of an  $\mathcal{M}$ -program, and  $n$  is some nonnegative integer. The problem is to decide if there is some  $x \in \{2^n, 2^n + 1, \dots, 2^{n+1} - 1\}$  for which  $P_e$  halts on input  $x$  in less than or equal to  $n^4$  steps. Prove that there is no function  $g(e, n)$  that can

decide the **Bounded Halting** problem within  $c_2n^2$  steps, for  $n$  sufficiently large. Hint: use the **self** programming concept. This problem shows that Kleene's 2nd Recursion Theorem also has applications to computational complexity theory! (25 pts)

**Solution.** Consider the following program  $P$ . On input  $x$ , compute the  $n$  for which  $x \in \{2^n, 2^n + 1, \dots, 2^{n+1} - 1\}$ . Simulate  $g$  on inputs  $e = \mathbf{self}$  and  $n$ . Note: this simulation requires  $S(n) = (c_2n^2)(c_1(\log n + c'))$  steps, where  $c'$  is the size of  $P$  (since we are simulating a program  $G$  that computes  $g$ , the program has two inputs  $\gamma(P)$  and  $n$  and so we must consider the size of both inputs when computing the cost of simulating each step of  $G$ ). Notice that, for sufficiently large  $n$ ,  $S(n) = o(n^4)$ , and so, after simulating  $g(e, n)$ ,  $P$  may still execute additional steps. So, assuming  $n$  is sufficiently large, suppose  $g(\mathbf{self}, n) = 0$ . In this case  $P$  immediately returns 1 which contradicts  $g$ , since  $P$  halts within  $n^4$  steps on *all* inputs in the set  $\{2^n, 2^n + 1, \dots, 2^{n+1} - 1\}$ . On the other hand, if  $g(\mathbf{self}, n) = 1$ , then  $P$  loops forever and thus will never halt within  $n^4$  steps for any  $x \in \{2^n, 2^n + 1, \dots, 2^{n+1} - 1\}$ . This again is a contradiction. Note that this proof will continue to work so long as  $G$ 's running time stays sufficiently below  $n^4 / \log(n + c')$ .