

Inferences about winter temperatures and summer rains from the late  
Quaternary record of C<sub>4</sub> perennial grasses and C<sub>3</sub> desert shrubs  
in the northern Chihuahuan Desert

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**ABSTRACT:** Late Quaternary histories of two North American desert biomes- C<sub>4</sub> grasslands and C<sub>3</sub> shrublands- are poorly known despite their sensitivity and potential value in reconstructing summer rains and winter temperatures. Plant macrofossil assemblages from packrat midden series in the northern Chihuahuan Desert show that C<sub>4</sub> grasses and annuals typical of desert grassland persisted near their present northern limits throughout the last glacial-interglacial cycle. By contrast, key C<sub>3</sub> desert shrubs appeared somewhat abruptly after 5000 cal yr B.P. Bioclimatic envelopes for select C<sub>4</sub> and C<sub>3</sub> species are mapped to interpret the glacial-interglacial persistence of desert grassland and the mid-to-late Holocene expansion of desert shrublands. The envelopes suggest relatively warm Pleistocene temperatures with moist summers allowed for persistence of C<sub>4</sub> grasses, whereas winters were probably too cold (or too wet) for C<sub>3</sub> desert shrubs. Contrary to climate model results, core processes associated with the North American Monsoon and moisture transport to the northern Chihuahuan Desert remained intact throughout the last glacial-interglacial cycle. Midlatitude effects, however, truncated midsummer (July-August) moisture transport north of 35°N. The sudden expansion of desert shrublands after 5000 cal yr B.P. may be a threshold response to warmer winters associated with increasing boreal winter insolation, and El Niño-Southern Oscillation variability.

## Introduction

Despite steady and focused research that now spans half a century, questions linger about the changing seasonality of southwestern U.S.A. paleoclimates. There is general consensus that late Pleistocene (30,000-13,000 yr B.P.; all ages are reported in calendar yrs B.P.) winters were wetter and temperatures were generally cooler than today (Thompson *et al.*, 1993). The resulting increases in effective moisture permitted large pluvial lakes to grow and persist in what are now dry playas along the Arizona-New Mexico border (Long, 1966; Fleishhauer and Stone, 1982; Waters, 1989; Krider, 1998; Hawley *et al.*, 2000). Increases in effective moisture also supported mixed-conifer forests and open woodlands where there are now pinyon-juniper woodlands, and pinyon-juniper woodlands where there are now deserts (see regional summaries in Van Devender *et al.* 1987; Betancourt *et al.* 1990; Thompson *et al.* 1993; Thompson and Anderson 2000). Uncertainties remain, however, about the history of summer rains and winter temperatures as could be inferred primarily from biological indicators. In the most recent synthesis of past biomes in western North America based on pollen and packrat midden data, Thompson and Anderson (2000) conclude that the Last Glacial Maximum (~21,000 yr B.P.) was characterized by (1) southward displacement of the westerlies and enhanced persistence throughout the year; (2) virtual elimination of the present summer monsoon circulation; and (3) a strong temperature gradient throughout the interior, with very cold temperatures to the north and mild conditions along the Mexican border. Here, we aim to refine these inferences by evaluating the packrat midden record and modern bioclimatic distributions of select species in two functional plant

groups- C<sub>4</sub> desert grasses and C<sub>3</sub> desert shrubs- that characterize the northern Chihuahuan Desert in the U.S.A.-Mexico borderlands (Figure 1).

The presence and abundance of select C<sub>4</sub> herbs and perennial grasses and C<sub>3</sub> desert shrubs in middens from the northern fringe of the northern fringes of the Chihuahuan Desert (Figure 2), all of which occur today at or near the midden sites, demonstrate interestingly disparate histories. C<sub>4</sub> herbs and grasses persisted from late Pleistocene (Marine Isotope Stages 2 & 3) to the present (MIS 1), whereas many C<sub>3</sub> desert shrubs did not occupy the northern extent of their ranges until the last 4000-5000 years. To evaluate the climatic significance of these patterns, we focused on several C<sub>4</sub> grasses (*Botriochloa barbinodis*, *Digitaria californica*, *Leptochloa dubia*, *Setaria vulpiseta*) and C<sub>3</sub> shrubs (*Acacia constricta*, *Acacia greggii*, *Flourensia cernua*, *Fouquieria splendens*, and *Larrea tridentata*) common in midden records from the northern Chihuahuan Desert and with easily obtainable distributional data from herbarium collections. We added *Simmondsia chinensis* to the suite of C<sub>3</sub> shrubs species because it represents a unique case where a Sonoran Desert species is in the process of invading the northwestern edge (Peloncillo Mts. in Figures 1 and 2) of the Chihuahuan Desert. We used distributional data to construct a multi-dimensional climatic niche for each species, and distances from the niche were calculated and mapped on a 4-km grid for each of ten climatic variables. The maps are used to evaluate hypotheses about the late Quaternary history of summer rains and winter temperatures in the southwestern United States.

## **Bioclimatic Envelopes**

Bioclimatic envelopes can be used to relate physiological functioning of species to conditions found along environmental gradients, such as light, temperature, and moisture. The environmental conditions in which a species can survive and reproduce comprise the fundamental ecological niche of a species. Hutchinson (1957) defined the fundamental niche as an  $n$ -dimensional space consisting of all the environmental conditions within which a species can survive and reproduce. Following Jackson and Overpeck (2000), parts of the fundamental niche can be broken down further into potential and realized niches. Not all climatic combinations occur in nature, so species distributions are limited to areas where the realized environmental variables and the fundamental niche overlap, forming the potential niche space. Within this area of overlap, the species' range may not entirely fill the potential niche if it is limited by other factors such as competition, dispersal ability, etc. As shown in Figure 3, the realized niche comprises the niche space actually occupied by the species (Jackson and Overpeck, 2000).

The geographic range of a species can be viewed as a spatial reflection of its niche: the species occurs where all environmental conditions are suitable and is absent from areas where one or more conditions are unsuitable (Brown and Lomolino, 1998). Thus, it is possible to re-map distribution patterns from geographic space into climatic space to produce a bioclimatic envelope for a species. We can also map the distance, in terms of climate, that an area falls outside of the bioclimatic envelope to understand potential limiting factors. Once we have constructed present-day bioclimatic envelopes for individual species, the envelopes for assemblages of species found in the fossil record can be determined. The climate at some time in the past is inferred to lie within the area of overlap of the climatic ranges for the species present (Atkinson *et al.*, 1986; Elias, 1997).

Two limitations of this technique warrant discussion. First, bioclimatic envelopes produced using geographic ranges are based on realized niches rather than fundamental niches, because non-climatic factors also constrain plant distributions. On smaller spatial scales, non-climatic variables such as topography, canopy cover, soil texture and moisture, nutrient availability, or competitive interactions may be key factors in determining species' distributions. At the continental scale, however, climate is considered the dominant factor regulating plant distribution (Woodward, 1987). In fact, bioclimatic envelopes have been shown to perform well at the macroscale where climatic influences dominate and the impact of biotic interactions is minimized (Pearson *et al.*, 2002; Pearson and Dawson, 2003). A second limitation is the assumption that vegetation, whether today or in the past, is in equilibrium with the contemporary climate. While species ranges continually adjust to both natural and human-induced changes in climate, land use, and disturbance, we believe the assumption of equilibrium is generally sound for modern vegetation. Consistent replication in the nature and timing of assemblage changes across remote sites in the same region suggests that, in most cases, this assumption is also valid for the past. Reasonable agreement between vegetation simulated from climate models and observed in the fossil record likewise supports the assumption of equilibrium (Williams *et al.*, 2001; Brewer *et al.*, 2002).

The high taxonomic resolution afforded by packrat midden records, where species-level identification of taxa is common, provides a unique opportunity for assessing past climates using information about species' ranges. Bioclimatic envelopes have been used only sparingly, however, to infer climate from packrat midden records. (Thompson *et al.*, 1999; Arundel, 2002; Sharpe, 2002; Norris *et al.*, in press). Here, we used georeferenced herbarium records and a Geographic Information System (GIS)-based approach to construct bioclimatic climatic

envelopes for indicator species. Although other platforms such as the GARP modeling system (Genetic Algorithms for Rule-set Prediction; Stockwell and Nobel, 1991) improve statistical confidence, the envelope method works well for continuous variables such as climatic data, where range limits represent extremes of tolerance for a species. The envelope method thus allows us to easily extract climatic tolerance data for species to evaluate climatic controls on (1) the relative stability of C<sub>4</sub> grasses and herbs throughout the late Quaternary and (2) the arrival of C<sub>3</sub> desert shrubs in the late Holocene.

## **Methods**

Species presence data for key midden taxa were compiled by integrating georeferenced herbarium data from several different institutions (Table C.1). Point-specific data are preferable to generalized (flat) range maps, an important factor when constructing bioclimatic envelopes in mountainous regions where vegetation varies greatly with elevation (Betancourt, 2004). After compiling the database, we removed records that did not contain adequate location data or for which location data were coarser than a minute in latitude/longitude (1.8 km-resolution). Any sites were excluded where species were either cultivated or introduced. All latitude-longitude data, as well as township-range-section locations, were standardized to decimal degree. In cases where multiple herbarium records for a species had been collected at a particular site, we removed replicate records. Species occurrence points were then plotted on a base map and any geographic outliers checked for accuracy against location information in the database. Finally, the occurrence data for each species were converted into a separate GIS point coverage.

A grid of climate variables was created from PRISM (Parameter-elevation Regressions on Independent Slopes Model) data (Daly, 1997). PRISM uses average climatic values from 1960-1990 derived from National Oceanic and Atmospheric Administration (NOAA) weather station data and a moving window of topographically-adjusted regression equations to produce 2.5 minute- (~4 km) resolution gridded climate data for the western United States (Daly, 1997). In other words, the climatic values at each of the grid points represents the climate at the average elevation computed for each grid cell. To create our climate grid, the following variables were selected or derived from PRISM data and overlain in the GIS: mean frost-free period, mean January temperature, mean minimum January temperature, mean July temperature, mean maximum July temperature, mean winter (DJF) precipitation, mean summer (JJA) precipitation, mean growing season precipitation, mean annual precipitation, and summer precipitation/temperature as a measure of heat stress. Because precipitation tends to exhibit a non-normal distribution, we also incorporated grids of logged values for each of the precipitation variables in our climate grid.

Next, we combined the point coverage and climate grid to find the niche space for each species. After joining the point coverage and climate grid, climate data for all variables were extracted at each presence point. Climate data were exported into a spreadsheet and the mean and standard deviation calculated for each variable. All points with values lying outside two standard deviations of the mean for any variable were removed; in the case of precipitation variables, we used the logged variables to trim the data points. We chose to trim the data for several reasons. First, although the PRISM data provide high (~4 km) spatial resolution, we expect that some presence points occur at much lower/higher elevation than the average elevation of grid cells that encompass a broad range of elevations. Second, species occurrence



data may contain inaccuracies due to poor initial field location determinations, errors in estimation of latitude and longitude from township-range-section data, or database errors. Removing points that fall outside of two standard deviations should minimize these types of errors. In addition, with few exceptions, visual inspection of the niche spaces created with the trimmed data compared more favorably with known distributions than those created using untrimmed data. After trimming the data, we selected only those cells where climatic conditions fell within the trimmed minimum and maximum values to construct the niche space. For points falling outside of the niche space, we calculated the distance, in terms of climate, from the niche space for each variable. In the case of precipitation variables, we calculated distances using the non-logged values to facilitate interpretation.

## **Results**

### **Species presence points versus the modeled niche space**

Figure 4 shows species presence points versus the modeled niche space for all species. Points falling outside of the modeled niche space are the trimmed points, i.e., those that exceeded the 2-sigma range for one or more variables. The modeled niche space extending beyond the presence points represents potential niche space for the species. Again, one possible source of error derives from the fact that the climatic values for each point used to construct the niche space represent climate at the average elevation for the grid cell, which may be higher or lower than the actual elevation. Even so, this technique is a significant improvement over the use of flat range maps that are not elevation-specific, and good agreement between the modeled niches and

known distributional data [i.e., Turner et al. (1995), although this work does not extend east of the Arizona-New Mexico border] suggests error is minimal.

### **Climatic envelopes for C<sub>4</sub> grasses**

The climatic controls on the distribution of the C<sub>4</sub> grasses *Bothriochloa barbinodis*, *Digitaria californica*, *Leptochloa dubia* and *Setaria vulpiseta* are apparent in Figures 5-8. The northern limits for these species are strongly influenced by mean January temperature, mean minimum January temperature, and frost-free period, with mean January temperature having an effect over the greatest spatial extent. We expect these variables to be highly correlated since areas with colder mean January temperatures are also likely to have colder minimum temperatures and a shorter frost-free period. Cold summers (mean July temperature, mean maximum July temperature) also appear to be limiting north of the physiographic break that separates the low, warm deserts from the higher, colder ones to the north (the Great Basin and Colorado Plateau). Lowlands in the basins and valleys to the north, however, are apparently warm enough in the summer but too cold in the winter for these desert grassland species. The latter may be due to the large temperature inversions in winter that characterize the Colorado Plateau and Great Basin (Whiteman *et al.*, 1999).

Summer (JJA) precipitation and growing season precipitation are the main limiting factors to the west, although these are somewhat less important for *Bothriochloa barbinodis* than the other grasses (Figure 5). During summer, high temperatures also restrict the presence of these C<sub>4</sub> grasses in southwestern Arizona and southeastern California. The combined influence of high summer temperatures and moisture deficit is notable in the map of heat stress (summer precipitation/temperature). Conversely, maps of mean annual precipitation, growing season

precipitation, and summer precipitation/temperature indicate that conditions to the east are too wet.

A comparison of the bioclimatic envelopes produced for 21,170, 15,410, 13,925, and 12,405 yr B.P. at the Peloncillo Mountains Wilderness Area (PMWA) site allows us to place boundaries on the late Pleistocene climatic conditions in the northern Chihuahuan Desert. Climatic range limits for each species were determined from bioclimatic envelopes (Table C.2), and the lower range limits for species present at various time periods were subtracted from the modern values for mean January temperature (6.9°C), mean July temperature (27.7°C), and mean summer precipitation (105.5 mm). For 21,170 yr B.P. during the Last Glacial Maximum, our results indicate that mean January temperatures were not below 2.4°C (4.5°C lower than today) and mean July temperatures were not below 23.3°C (4.4°C lower than today), while mean summer precipitation was *at least* 80 mm. For 15,410, 13,925, and 12,405 yr B.P., mean January and July temperatures remained the same, but modeled mean summer precipitation was 105.3 mm, which is equivalent to modern summer precipitation along the Arizona-New Mexico-Mexico border. The summer precipitation value of 105.2 mm is based on the niche requirements for *Leptochloa dubia* and is significantly greater than the amount for other species, which have minimum summer precipitation values between 55.1-80.1 mm.

### **C<sub>3</sub> desert shrubs**

The bioclimatic envelopes for all desert shrub species studied (*Acacia constricta*, *Acacia greggii*, *Flourensia cernua*, *Fouquieria splendens*, *Larrea tridentata*, and *Simmondsia chinensis*) are remarkably similar (Figures 9-14). To the north, cold mean January temperature, cold mean

minimum January temperature, and a short frost-free period strongly limit the niches of all species. Cold mean July temperature and mean maximum July temperatures also appear limiting to the north, but less so than winter temperatures. To the west, hot and dry summer conditions (summer precipitation, growing season precipitation, summer precipitation/temperature, mean July temperature, and mean maximum July temperature) truncate the niches for most species. An exception is *Larrea tridentata*, which is excluded only from lower Colorado Delta vicinity and southwestern California and appears more tolerant of hot, arid conditions. In the east, wetter conditions in central and eastern Texas fall outside the climatic range for all species.

Comparison of bioclimatic envelopes for desert shrub species in the PMWA shows a warming trend in winter temperatures in the mid- to late Holocene. The presence of *Flourensia cernua* and *Acacia constricta* by 5460 yr B.P. indicates that mean January temperatures were not below  $\sim 3^{\circ}\text{C}$  (i.e.,  $\sim 4^{\circ}\text{C}$  cooler than today). The arrival of *Acacia greggii* by 3760 yr B.P. suggests mean January temperatures had warmed another  $\sim 0.5^{\circ}\text{C}$ . Establishment of the disjunct population of *Simmondsia chinensis*, the most cold-intolerant species with a mean January temperature of  $6.38^{\circ}\text{C}$  (only  $0.5^{\circ}\text{C}$  cooler than today), in the PMWA between  $\sim 2260\text{-}0$  yr B.P. (Holmgren *et al.*, in prep) indicates further warming of winter temperatures. Although the arrival of desert shrubs is a notable occurrence throughout the northern Chihuahuan Desert during the latter part of the mid-Holocene, there is no obvious sequence of species arrivals. It is clear that other factors such as dispersal ability, distance from late glacial refugia, or other climatic, biotic, or edaphic variables can factor into species arrival times.

## **Discussion**

We have considered the large-scale climatic factors limiting modern species distributions in order to understand climatic controls on late Pleistocene and Holocene vegetation in the northern Chihuahuan Desert. Our analysis is based on correlations among species distributions and climatic variables, and as such, we cannot establish causal relationships. Because autecological and physiological information is incomplete for all of the selected species, our models are by necessity statistical and not deterministic. The similarity of the limiting factors identified for related plant functional types (perennial C<sub>4</sub> grasses, desert shrubs), however, lends credence to their importance in influencing plant distributions.

### **Late Pleistocene climate**

The presence of C<sub>4</sub> herbs and grasses in late Pleistocene middens from the Bolson de Mapimi (~26-27°N) in Mexico and Big Bend (~29°N) in Texas to the Tularosa Basin (32-33°N), southern New Mexico was long noted by Van Devender (Van Devender and Riskind, 1979; Van Devender *et al.*, 1984; Van Devender and Burgess, 1985; Van Devender and Toolin, 1983; Van Devender *et al.*, 1990; Van Devender, 1995). The prevalence of these summer-flowering species has been confirmed for the Tularosa Basin (Betancourt *et al.*, 2001), the middle Rio Grand Valley south of Albuquerque (Betancourt, Rylander, and Anderson, in prep.), and Playas (Holmgren *et al.*, 2003) and San Simon Valleys (Holmgren *et al.*, in prep) of SW New Mexico and SE Arizona, respectively. Along the northern fringes of the Chihuahuan Desert, many of the species that characterize the modern desert grassland commonly occur throughout MIS 1, 2 and 3, in other words the last 40,000 years (Figure 2). Recent work integrating carbon isotopes from soil carbonates (Liu *et al.* 1996; Monger *et al.* 1998) and tooth enamel from megaherbivores (Connin *et al.* 1998; Koch *et al.* 2004) suggests that the C<sub>4</sub> grass occurrences represent more than

just secondary elements in woodland understories. Instead, they were part of an expansive summer-flowering C<sub>4</sub> grassland that stretched from central Texas to southern Arizona, mostly south of 35°N. These may have been the northernmost grasslands in western North America to green up in response to mid-to-late summer rains during the glacial period. Although some C<sub>4</sub> grass species may respond opportunistically to available moisture regardless of season (Van Devender *et al.*, 1990), this argument is less likely to apply to the diverse suite of C<sub>4</sub> grasses and summer-flowering annuals identified from Pleistocene middens. Surprisingly, many of the C<sub>4</sub> grasses (e.g., *Botriochloa barbinodis*, *Digitaria californica*, *Leptochloa dubia*, *Setaria vulpiseta*) that appear in the late Pleistocene midden record of the northern Chihuahuan Desert do not extend much further north today, and thus can help constrain the magnitude of glacial-age cooling.

The results of our bioclimatic envelopes suggest cold winter temperatures are an important factor limiting the distribution of many C<sub>4</sub> grass species along their northern and upper elevation range margins. There is currently little consensus, however, regarding physiological constraints on C<sub>4</sub> plant distribution in cold climates. Dissociation of the C<sub>4</sub> enzymes pyruvate-phosphate dikinase and PEP carboxylase (Long, 1983; Potvin *et al.*, 1986), Rubisco limitation (Long 1999; Kubien *et al.*, 2003), and lower quantum yield of C<sub>4</sub> versus C<sub>3</sub> grasses (Ehleringer *et al.*, 1997) at lower temperatures have all been proposed as explanations for the scarcity of C<sub>4</sub> species in cold environments. Most of these studies have focused on low temperatures during the growing season, but our results suggest that winter temperatures may be equally important in limiting C<sub>4</sub> grasses. Some C<sub>4</sub> species can tolerate cold temperatures when dormant (Schwarz and Reaney, 1989) and during the growing season (Sage and Sage, 2002), but a late hard freeze after germination may limit establishment by impacting the chilling-susceptible seedlings in many

species. For example, *Zea mays* exhibits loss of photosynthetic capacity due to photoinhibition during periods of high light intensity and low temperatures. Seedlings may be especially vulnerable to light-dependent damage because they emerge when periods of low chilling temperatures coincide with high light levels (Long, 1983; Long, 1999). Although we currently cannot resolve the physiological basis for limited C<sub>4</sub> grass distribution in cold climates, the presence of C<sub>4</sub> grasses in late Pleistocene middens nevertheless provides evidence for relatively mild winter temperatures. Continued investigation of the role of cold winter temperatures and length of frost-free period is warranted.

Reconstructed summer temperatures during the late Pleistocene were also cooler than modern, although the amount of cooling is uncertain. C<sub>4</sub> grass bioclimatic envelopes indicate mean July temperature was no more than 5.5°C cooler than today. However, this low temperature limit may have been altered during the late Pleistocene due to shifts in dominance between C<sub>3</sub> and C<sub>4</sub> species. The higher quantum yield of C<sub>3</sub> species at low temperatures may limit C<sub>4</sub> grass distribution at sites with cooler growing season temperatures via competitive exclusion (Ehleringer et al, 1997). During the late Pleistocene, however, C<sub>4</sub> photosynthesis may have been favored at lower summer temperatures when reduced crossover temperatures (the mean monthly temperature at which C<sub>4</sub> plants have a higher quantum yield than C<sub>3</sub> plants) resulted from decreased atmospheric CO<sub>2</sub> concentration (Ehleringer *et al.*, 1997; Koch *et al.*, 2004). Thus, minimum summer temperatures for C<sub>4</sub> grasses may have been more than 5.5°C cooler during the late Pleistocene, assuming that low summer temperatures are regulated via competition with C<sub>3</sub> species rather than other physiological mechanisms. In contrast, changes in crossover temperatures would have had negligible effects in winter when C<sub>4</sub> grasses are inactive.

Bioclimatic envelopes also allow us to quantify the amount of late Pleistocene summer precipitation in the Borderlands. The opportunity for assessing the seasonality of precipitation changes, a rare opportunity in proxy records, is possible due to the high taxonomic resolution afforded by packrat midden records where species-level identifications are common. The importance of summer precipitation in the Borderlands was inferred previously from the diverse suite of C<sub>4</sub> grasses and summer-flowering annuals found in glacial-aged packrat middens (Betancourt *et al.*, 2001; Holmgren *et al.*, 2003; Holmgren *et al.*, in prep), although the amount of summer precipitation was unknown. We now estimate that summer precipitation was equivalent to at least 50% of modern values and may have reached amounts similar to today.

In contrast to models suggesting the virtual elimination of summer monsoonal precipitation (Thompson and Anderson, 2000), we find that at least moderate amounts of summer precipitation characterized late Pleistocene climate in what is now the northern fringe of the Chihuahuan Desert. Note that we do not imply a diminished role for winter precipitation during the late Pleistocene, because greater-than-present winter precipitation is still necessary to account for the increased effective moisture needed to fill pluvial lakes and support displacement of highland species into the lowlands. Rather, we suggest that summer precipitation was more extensive in the U.S.A-Mexico borderlands than previously thought. Thus, it appears that key atmospheric and topographic features anchoring the core of the North American monsoon over the Sierra Madre Occidental in Mexico and the tongue of moisture extending northward to the Arizona-New Mexico border remained intact during the glacial period. In the midlatitudes, on the other hand, persistent snowpack and southward displacement of the westerlies may have curtailed monsoonal precipitation to the north, as indicated by the scarcity of C<sub>4</sub> grasses and annuals in midden records from the Colorado Plateau (Betancourt, 1984; 1990).



In contrast to C<sub>4</sub> grasses, C<sub>3</sub> desert shrubs remained absent from the U.S.A.-Mexico borderlands during the late Pleistocene. Although the northern limits of C<sub>3</sub> desert shrub are similar to those of C<sub>4</sub> grasses, their absence during the late Pleistocene may have been due to the somewhat higher minimum winter temperature requirements. Both low mean January temperatures and mean minimum January temperatures for desert shrub species are generally higher than those for C<sub>4</sub> grasses (1.79 to 6.38°C vs. 0.63 to 2.40°C and -6.44 to -1.53°C vs. -7.47 to -5.22°C, respectively), which may have been sufficient to exclude them during the late Pleistocene. Alternatively, wetter late Pleistocene conditions may have prevented the establishment of desert shrub species via competitive exclusion by more mesic-adapted species. Whatever the case, the majority of desert shrubs species remained notably absent from the northern Chihuahuan Desert prior to their abrupt arrival around 5000-4000 yr B.P.

### **Mid- to Late Holocene**

A repeating theme across midden records (Figure 1) is the early to mid-Holocene (13,000-5000 yr B.P.) absence and surprisingly late arrival (last 4000-5000 years) of C<sub>3</sub> desert shrubs (including *Acacia constricta*, *Acacia greggii*, *Flourensia cernua*, *Fouquieria splendens*, and *Larrea tridentata*) now common in the northern Chihuahuan Desert. During the mid-Holocene, conditions in the northern Chihuahuan Desert were marked by hot, moist summers and cold, dry winters. Expanded upper and lower treelines (Fall, 1997), northward expansion of C<sub>4</sub> grasses and tropical elements in packrat middens (Van Devender, 1990; McAuliffe and Van Devender, 1998), and climate model output (Rind, 1994; Kutzbach, 1998) all indicate greater-than-modern summer temperatures and precipitation during the mid-Holocene (~8000-4000 yr B.P). In

contrast, desiccating or intermittent lakes from the U.S.A.-Mexico borderlands northward (Waters, 1989; Davis and Shafer, 1992; Anderson, 1993; Hasbargen, 1994; Krider, 1998), peak dune activity in the Great Plains (Forman, 2001) and the decline in midden production throughout the southwestern United States (Webb and Betancourt, 1990; Spaulding, 1991) indicate pervasive mid-Holocene winter drought. While warm wet summers and dry winters would tend to favor C<sub>4</sub> grasses over C<sub>3</sub> desert shrubs, we suggest the absence of C<sub>3</sub> desert shrubs during the mid-Holocene was due to colder-than-present winter temperatures prior to ~5000-4000 yr B.P. noted in climate model simulations (Rind, 1994; Kutzbach, 1998).

Bioclimatic envelopes from desertscrub species clearly demonstrate the role of cold winter temperatures in defining the northern range limits for these species. This is consistent with evidence for reduced stature and vigor in *Fouquieria splendens* near its northern limit (Bradley, 1966), and freezing-induced xylem cavitation limiting the northern distribution of *Larrea tridentata* (Pockman and Sperry, 1997). Van Devender (1990) suggests that the northward advance of subtropical species was stymied by high frequencies of hard advective freezes due to incursions of Arctic air masses in the mid-Holocene (8000-4000), but accelerated when such freezes became less common in the late Holocene (4000-0 yr B.P.). Furthermore, desert shrubs may also have been limited by radiative freezes resulting from lower winter insolation during the early to mid-Holocene.

The arrival of desertscrub species in the northern Chihuahuan Desert around 5000-4000 yr B.P. corresponds to increasing winter insolation after reaching a minimum ~11,000 yr B.P. (Figure 15), consistent with the advent of milder winter temperatures and a decrease in winter freezes. The northward expansion of desert shrub species appears to have occurred fairly quickly. For example, *Larrea tridentata* abruptly advanced to sites ranging from 1340-1430 to

1600-1985 m and was near its northernmost and uppermost limits at the Sevilleta Long Term Ecological Research (LTER) site by ~4100 cal yr B.P. Exceptions are isolated, northern outposts, such as Isleta north of the Sevilleta LTER, where *Larrea* arrived more recently (after 300 yr B.P.)(Van Devender, 1990). After attaining their northern limits, subsequent northward progression for most desert shrubs appears to have been blocked by the higher topography and colder temperatures of the Colorado Plateau. We note that the northward migration of desert species tended to occur earlier in the Sonoran Desert (Van Devender, 1990; McAuliffe and Van Devender, 1998), which may have been due to pockets of warmer temperatures in the lower, hotter Sonoran Desert. There is also some evidence to indicate that other subtropical shrubs are continuing to move into the northern Chihuahuan Desert, such as *Simmondsia chinensis* from the warmer Sonoran Desert to the west (Holmgren *et al.*, in prep). Despite these exceptions, the transition to vegetation more modern in character around 5000-4000 yr B.P. in the northern Chihuahuan Deserts appears to have been due, at least in part, to the ameliorization of winter temperatures. The seemingly abrupt expansion of desert shrubs ~5000-4000 cal yr B.P. could mean that the climate forcing was equally abrupt or that some critical temperature threshold was crossed early on as winters warmed gradually.

Factors other than increasing winter temperatures also may have played a role in the modernization of flora in the northern Chihuahuan Desert. For example, increased aridity and the resultant reduction in plant cover, leading to progressive erosion of the soil mantle and increased bare ground for colonization, would tend to favor desertscrub species at the expense of grasses (Van Devender, 1995). Likewise, there is general consensus in proxy records and climate models that orbitally-driven enhancement of the seasonal cycle during the mid-Holocene led to reduced ENSO variability prior to ~5000 yr B.P. and a more La-Niña-like average state, a condition

correlated with drier winter conditions in the Southwest (e.g. Rodbell *et al.*, 1999; Clement *et al.*, 2000). With the onset of greater ENSO frequency and magnitude in the later part of the mid-Holocene, the southwestern United States would have experienced more regular winter precipitation with greater extremes (Cane, 2005) and possibly higher freezing level heights, i.e., the elevation above sea level at which the air temperature is close to 0°C (Diaz *et al.*, 2003). Increased ENSO strength beginning ~5000 yr B.P., combined with warmer winter temperatures, may have favored the expansion of woody C<sub>3</sub> desert shrubs that respond to winter precipitation.

Finally, we acknowledge that the progressive “modernization” of vegetation in the northern Chihuahuan Desert may not be wholly in equilibrium with climate, but may be contingent on the exact sequence of climatic events and lagged ecological responses. The greater similarity of more recent fossil assemblages to present-day vegetation is likely to result, to some extent, from a shared history of climate variability, since the effects of drought-related mortality or greater recruitment during exceptionally wet years may leave a lasting imprint on the landscape (Swetnam and Betancourt, 1998). Likewise, landscape modification over time by species can lead to changes in plant community structure. For example, establishment of woody desert shrubs can alter microclimate and soil properties beneath their canopies where litter and nutrients accumulate, providing favorable microsites for some species while depleting resources in intercanopy areas. The resulting microsite heterogeneity between canopy and intercanopy areas may in turn affect the composition and spatial distribution of species (Charley and West, 1977; Vinton and Burke, 1995). The progressive modernization of flora may have been due to such a feedback mechanism subsequent to mid-Holocene establishment of pioneer desert shrub species.

## Conclusions

C<sub>4</sub>-dominated desert grasslands represent one of the most stable biomes in North America. At their northern extent, these grasslands persisted in more or less the same geographic location through MIS 1, 2 and 3. This persistence is most easily explained by the glacial-interglacial permanence of warm winters and summer rainfall in the region. It should follow then that the core of the monsoon in the U.S.A.-Mexico borderlands and northern Chihuahuan Desert must have remained relatively intact throughout the last glacial-interglacial cycle. In its present form, the North American monsoon is primarily a Neogene phenomenon associated with formation of the Gulf of California (Lonsdale, 1989), which substituted cold, upwelling Pacific waters with the warm Sea of Cortez immediately west of the Sierra Madre Occidental.

The persistence of the core of the monsoon contrasts with conventional wisdom, mostly derived from coarsely-resolved general circulation models, that southward displacement of the westerlies in glacial summers suppressed monsoonal circulation and rainfall over the southwestern U.S.A. (Kutzbach et al., 1998; Thompson et al., 2000). Mesoscale climate models have been able to resolve the present-day role of the Sea of Cortez and Sierra Madre Occidental in the multistage transport of moisture in the North American monsoon (Fawcett et al. 2002). We submit that mesoscale models will eventually show a similar role during the Last Glacial Maximum and throughout the Quaternary. This permanence of summer rains and desert grassland, and the inconstancy of adjacent desert and woodland biomes, surely have left clear imprints on the biogeographic and evolutionary history of the region, akin to those outlined by Hafner and Riddle (2005).

Finally, the location of Pleistocene refugia for desert shrubs, presumably to the south in Mexico, remains elusive and warrants future study. Their abrupt arrival and expansion in the

northern Chihuahuan Desert did not occur until ~5000-4000 cal yr B.P., concurrent with increasing winter insolation and the onset of modern ENSO variability. It remains to be sorted out whether the warming that forced this expansion happened gradually or abruptly as a series of steps that may be ongoing.

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## Captions

Table 1. List of herbarium data sources

Table 2. Table of climatic ranges from bioclimatic envelopes

Figure 1. Map of the U.S.A.-Mexico borderlands with locations of key midden sites from the northern Chihuahuan Desert.

Figure 2. Relative abundance of summer-flowering annuals and C<sub>4</sub> grasses and C<sub>3</sub> desert shrubs through time for sites in the northern Chihuahuan Desert. Note that midden records are discontinuous, with occurrences of midden samples indicated by horizontal bars.

Figure 3. Graphical representation of the fundamental niche space, realized environmental space, potential niche space, and realized niche space. After Jackson and Overpeck, 2000.

Figure 4. Comparison of species point-occurrences from herbarium data and modeled niche space.

Figure 5. Niche space for *Bothriochloa barbinodis* (shown in black) with the distance from the niche space for 10 variables. For figures 3-12, the area in gray indicates the area outside of the niche space when all variables are considered, but within the potential niche space for a particular variable.

Figure 6. Niche space for *Digitaria californica*.

Figure 7. Niche space for *Leptochloa dubia*..

Figure 8. Niche space for *Setaria vulpiseta*.

Figure 9. Niche space for *Acacia constricta*.

Figure 10. Niche space for *Acacia greggii*.

Figure 11. Niche space for *Flourensia cernua*.

Figure 12. Niche space for *Fouquieria splendens*.

Figure 13. Niche space for *Larrea tridentata*.

Figure 14. Niche space for *Simmondsia chinensis*.

Figure 15. Changes in June and December insolation ( $\text{W/m}^2$ ) relative to present for  $30^\circ\text{N}$ . Large arrow denotes step change in vegetation around 5000-4000 yr B.P. and onset of increased ENSO variability.

<b>Institution</b>	<b>Herbarium Code</b>
<b>Arizona</b>	
Arizona State University	ASU
Desert Botanical Garden	DES
Northern Arizona University	ASC
University of Arizona	ARIZ
SALVIAS	
<b>California</b>	
Rancho Santa Ana Botanical Garden	RSA
University of California at Berkeley	UC, JEPS
University of California at Riverside	
<b>Colorado</b>	
Colorado State University	CS
University of Colorado	COLO
<b>Kansas</b>	
University of Kansas Natural History Museum	KANU
<b>Mexico</b>	
Instituto de Ecologia, A.C.	IEB
<b>Missouri</b>	
Missouri Botanical Garden	MO
<b>Nebraska</b>	
University of Nebraska at Omaha	OMA
University of Nebraska State Museum	NEB
<b>Nevada</b>	
University of Nevada at Las Vegas	UNLV
University of Nevada at Reno	RENO
<b>New Mexico</b>	
New Mexico State University	NMC, NMCR
University of New Mexico	UNM
<b>Texas</b>	
Angelo State University	SAT
Sam Houston State University	SHST
Southwest Texas State University	SWT
Stephen F. Austin State University	ASTC
Texas A&M University	TAES, TAMU
University of Texas at Austin	LL, TEX
West Texas A&M University	WTS
<b>Utah</b>	
University of Utah	UT
Utah State University	UTC

Holmgren et al. Table 1. List of herbaria contributing species occurrence data.

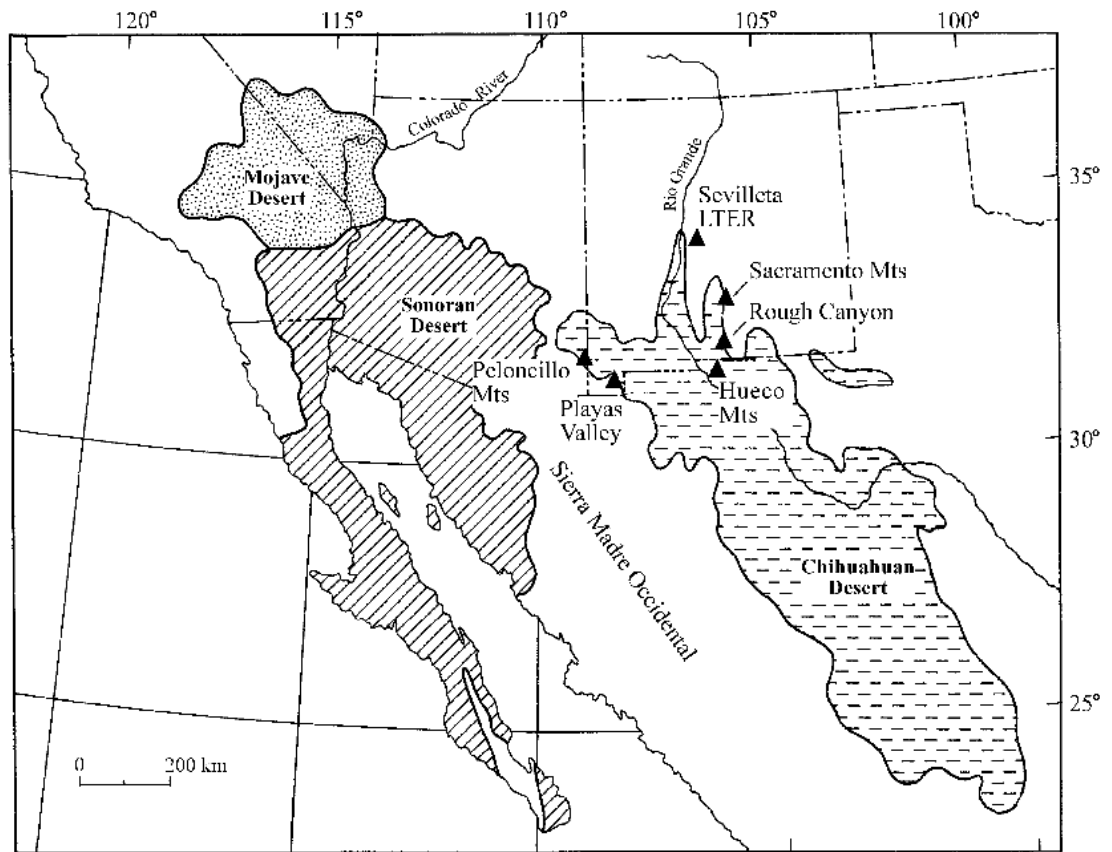


Figure 1. Map of the U.S.A.-Mexico borderlands with location of key midden sites from the northern Chihuahuan Desert

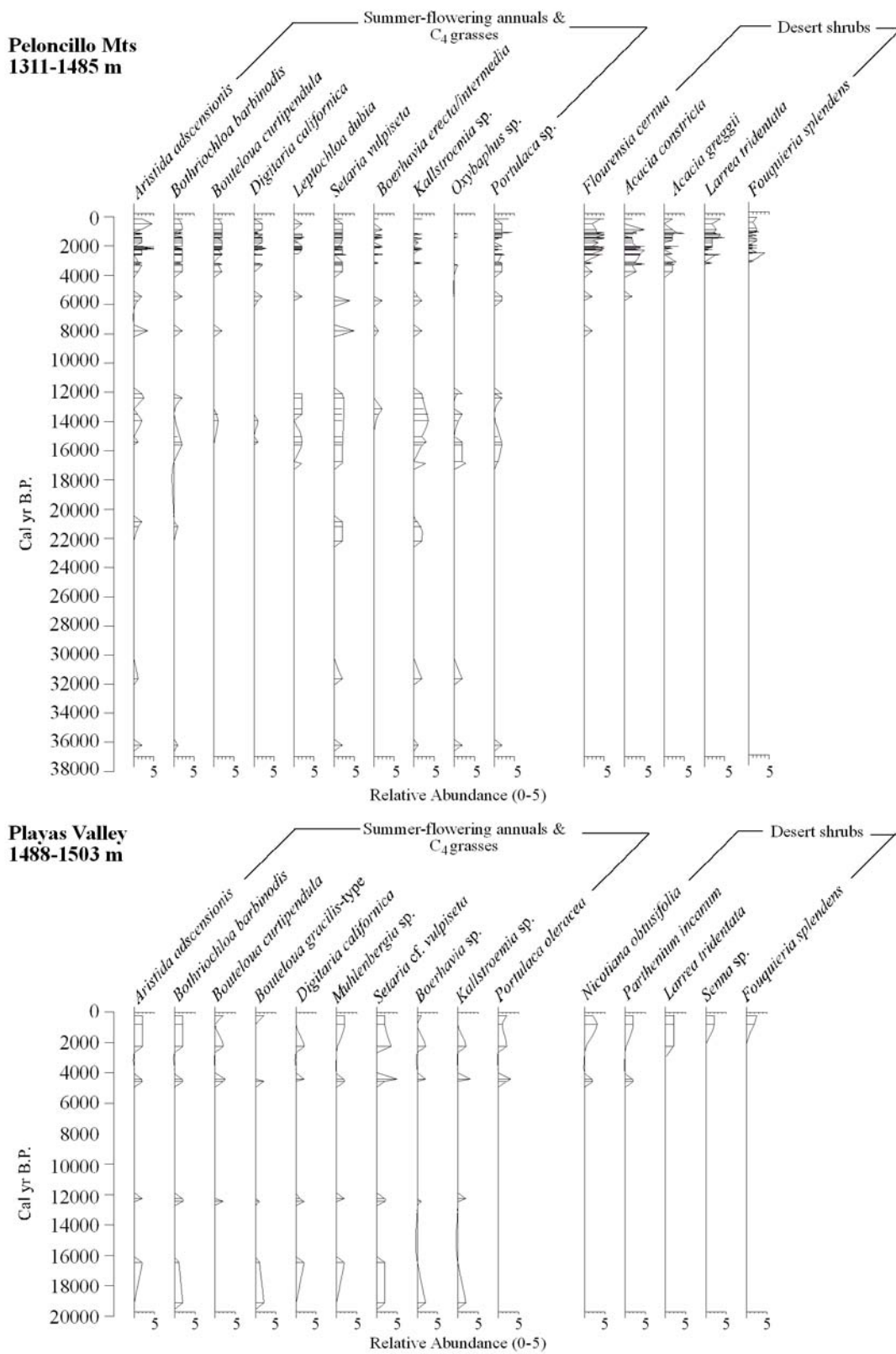


Figure 2

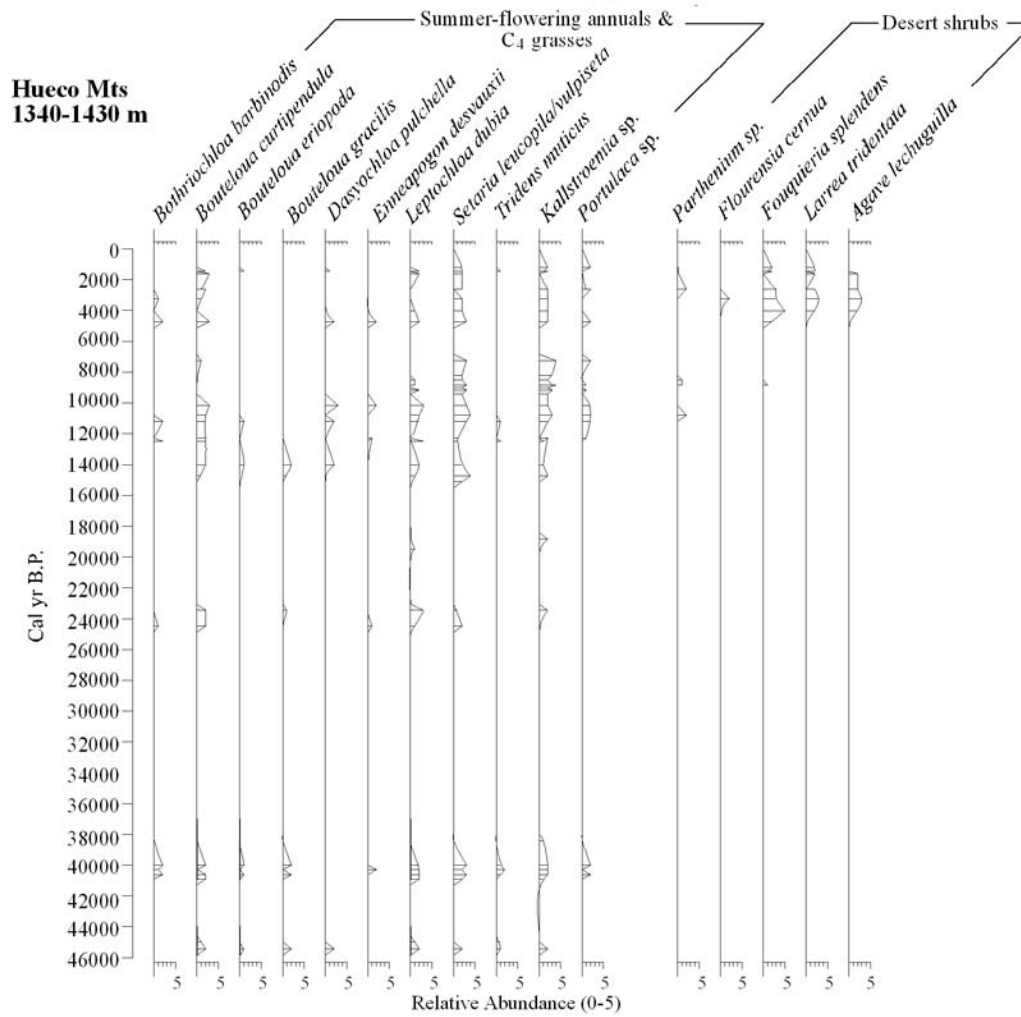
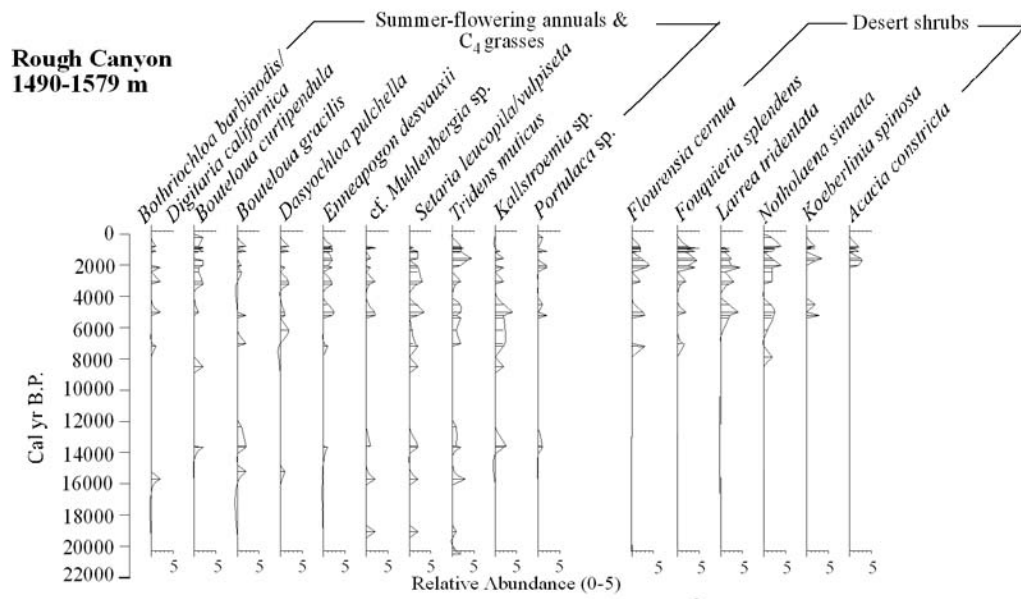
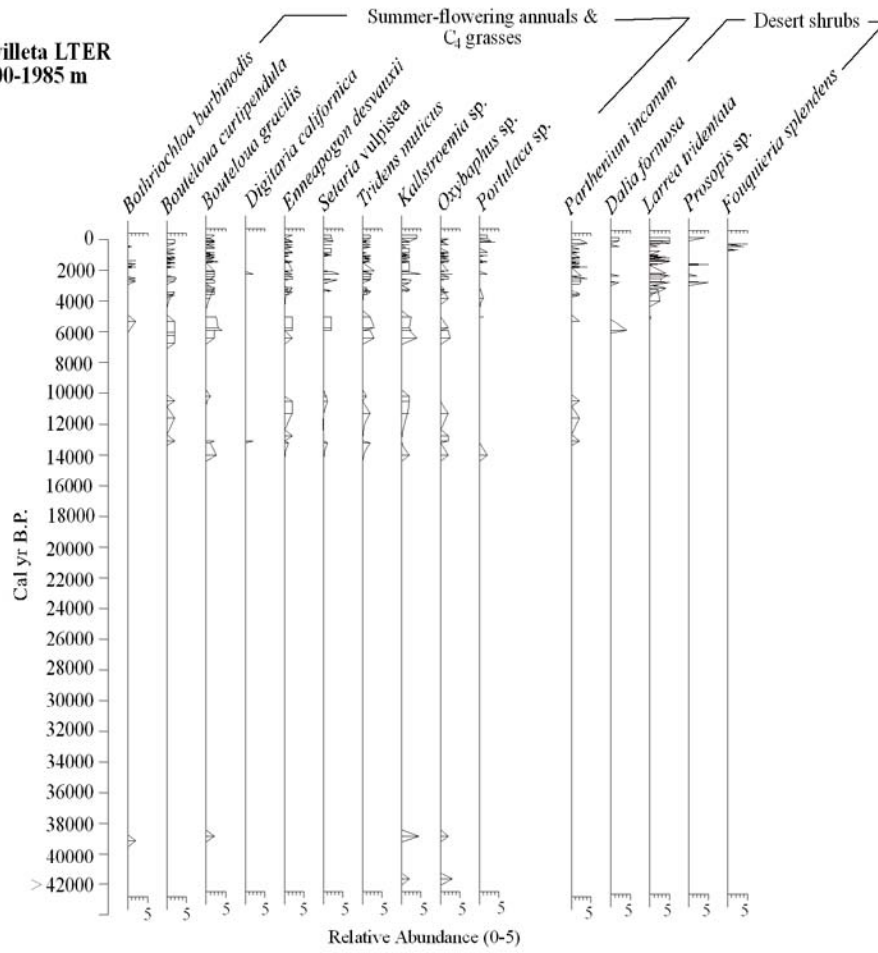


Figure 2 (cont.)



**Sevilleta LTER  
1600-1985 m**



**Sacramento Mts  
1555-1690 m**

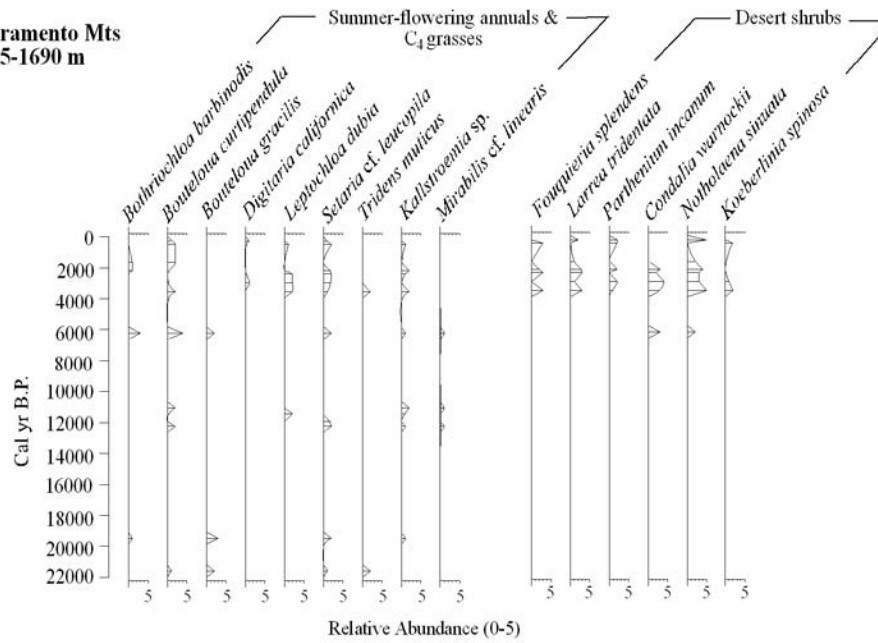


Figure 2 (cont.)

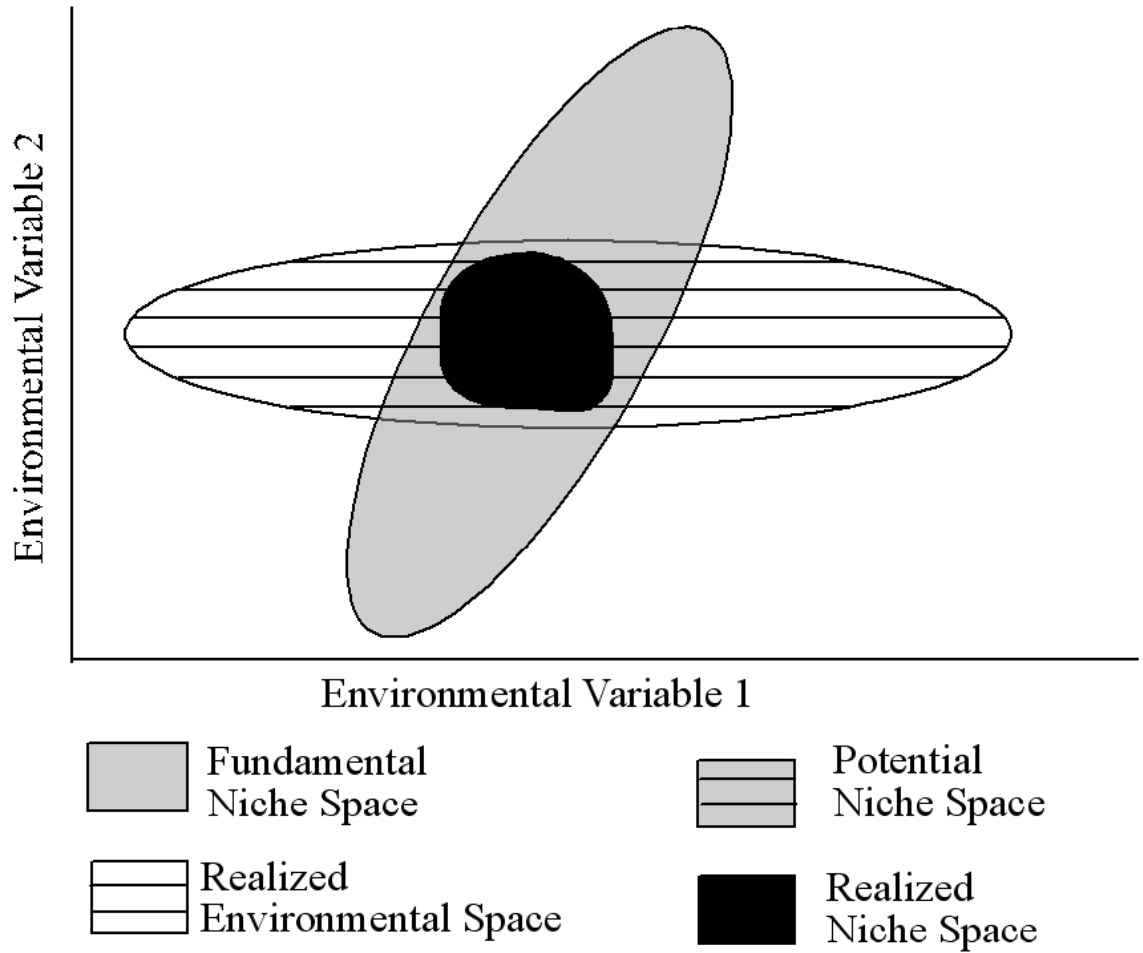


Figure 3. Fundamental, potential, and realized niches for a species

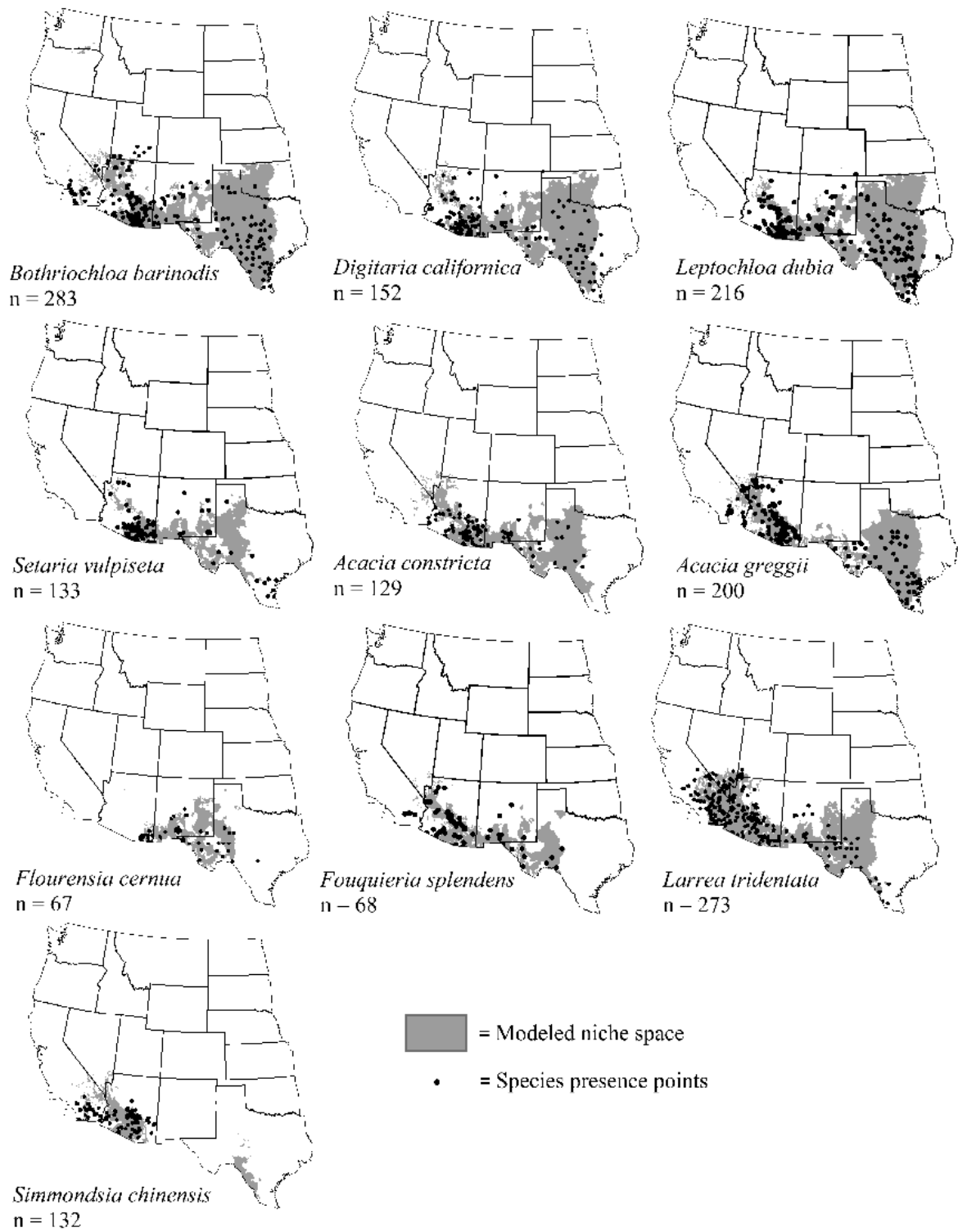
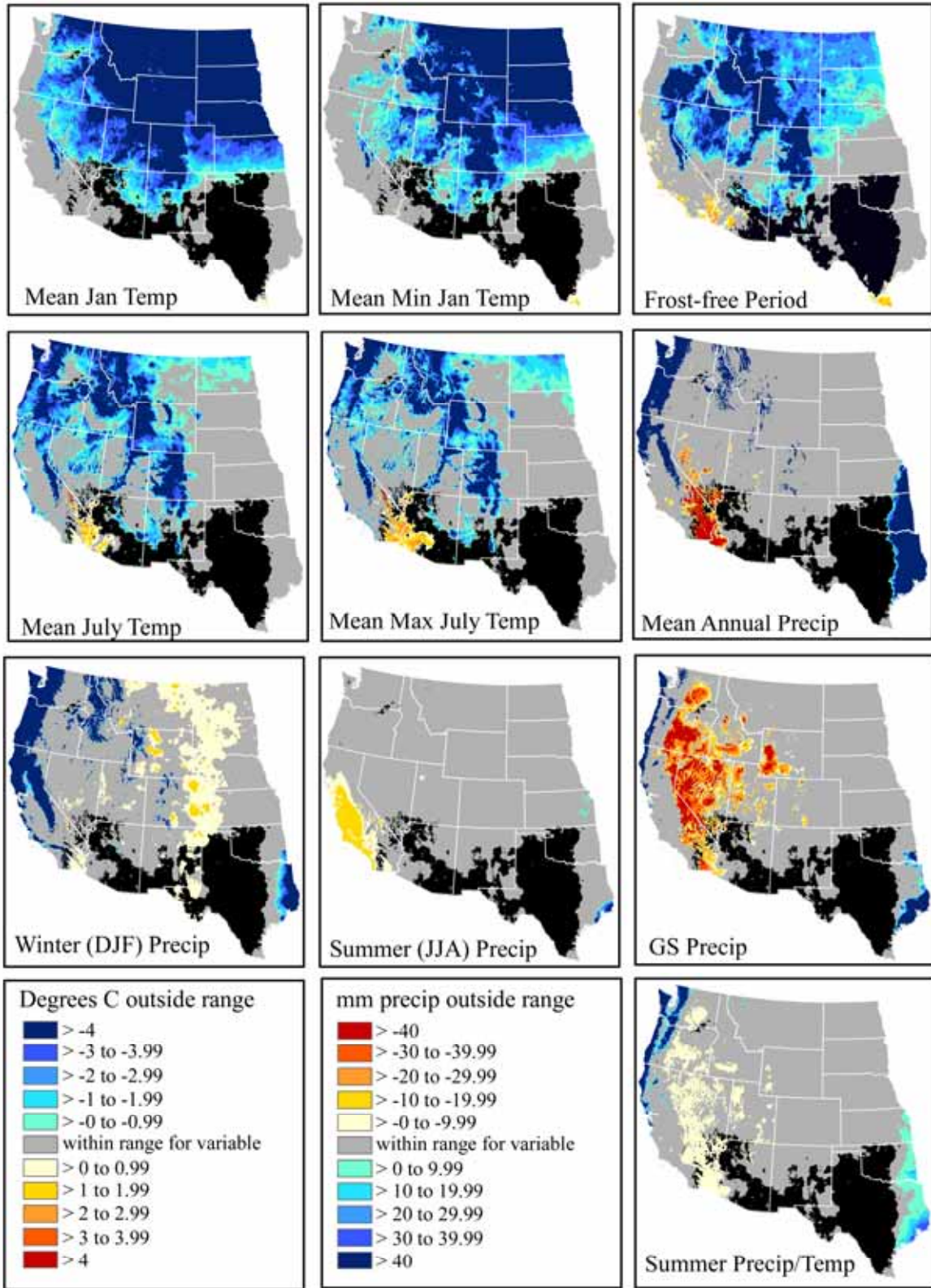
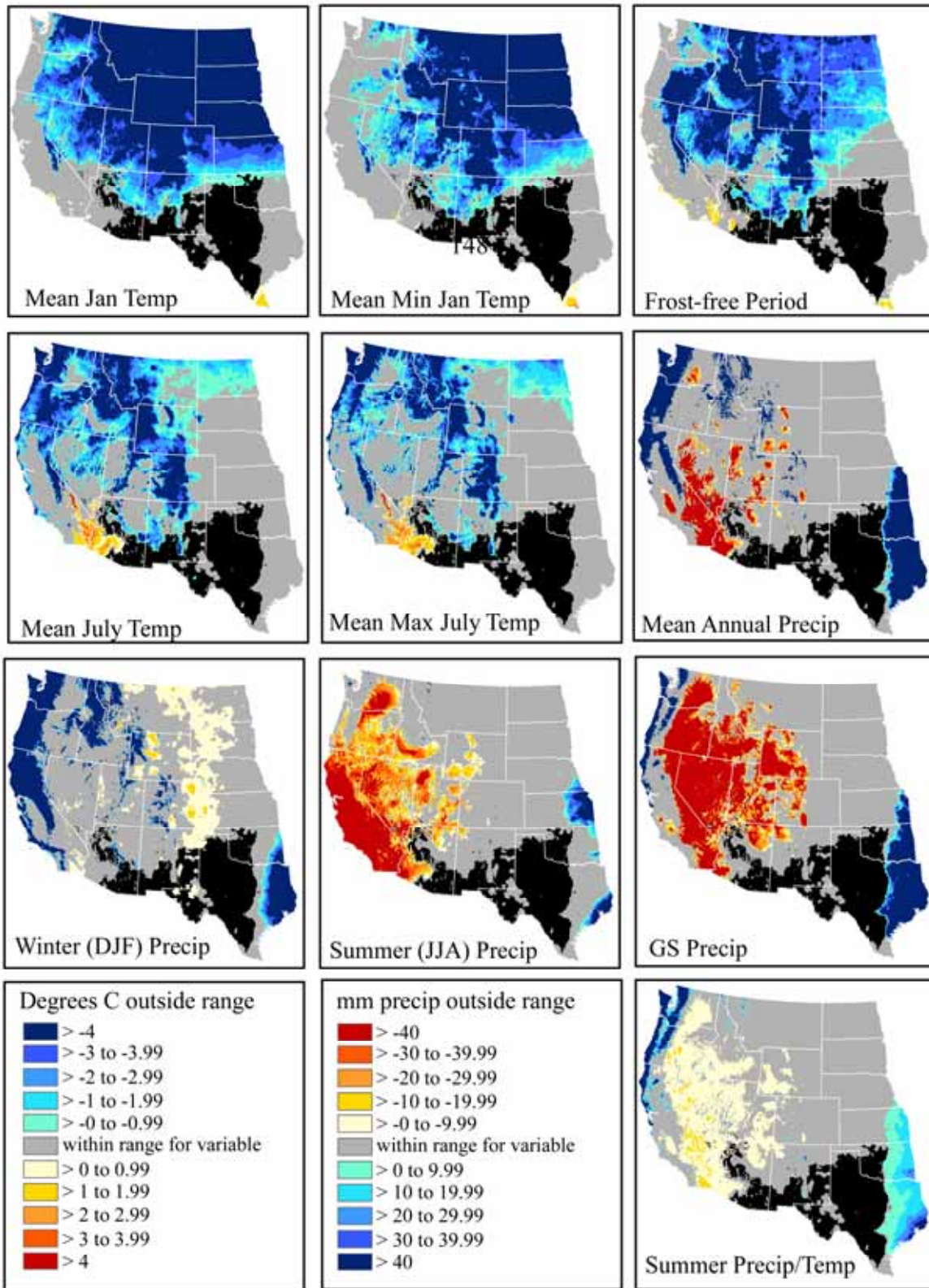


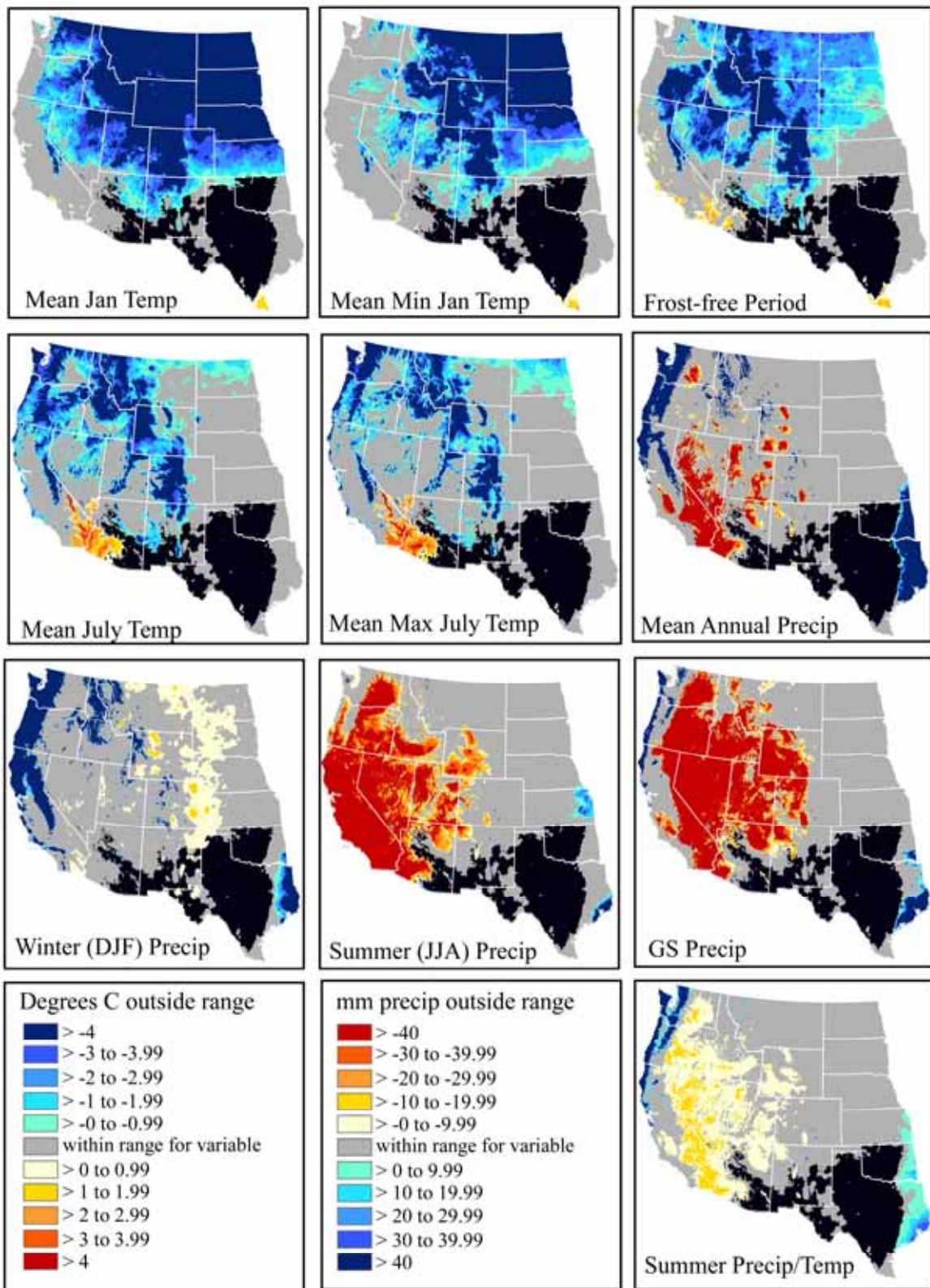
Figure 4. Comparison of species point occurrences and the modeled niche space.



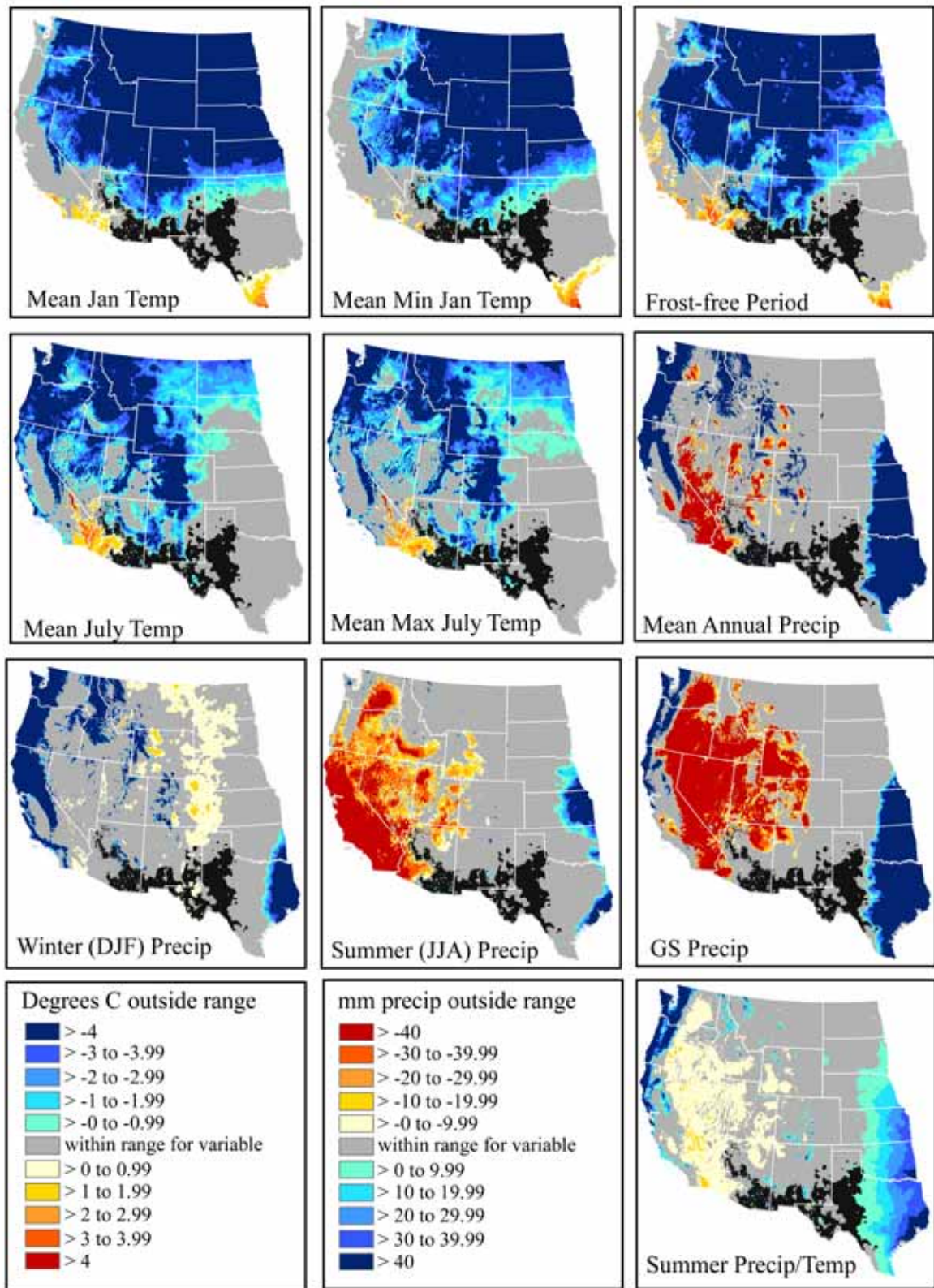
Holmgren et al. Figure 5



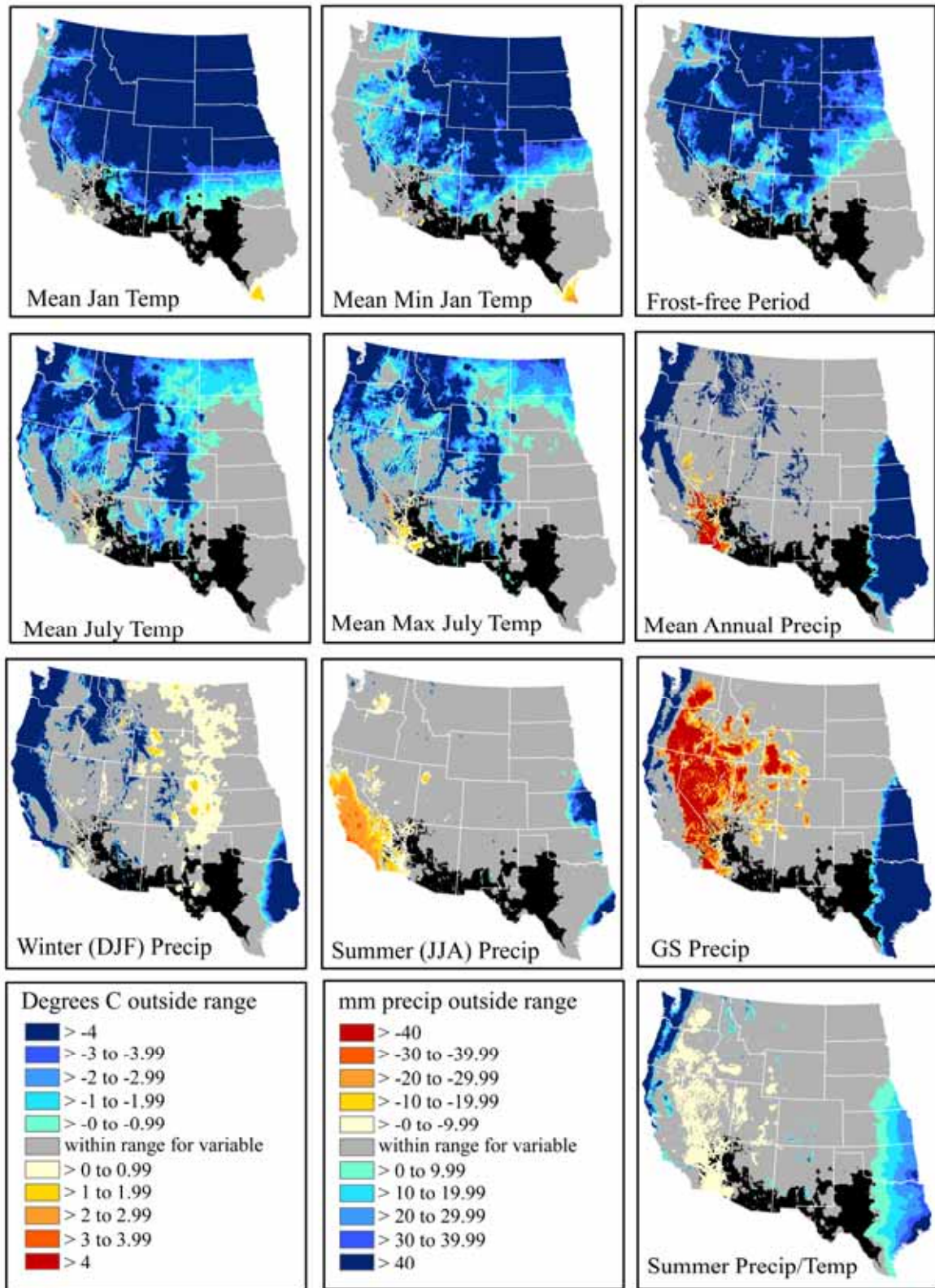
Holmgren et al. Figure 6



Holmgren et al. Figure 7

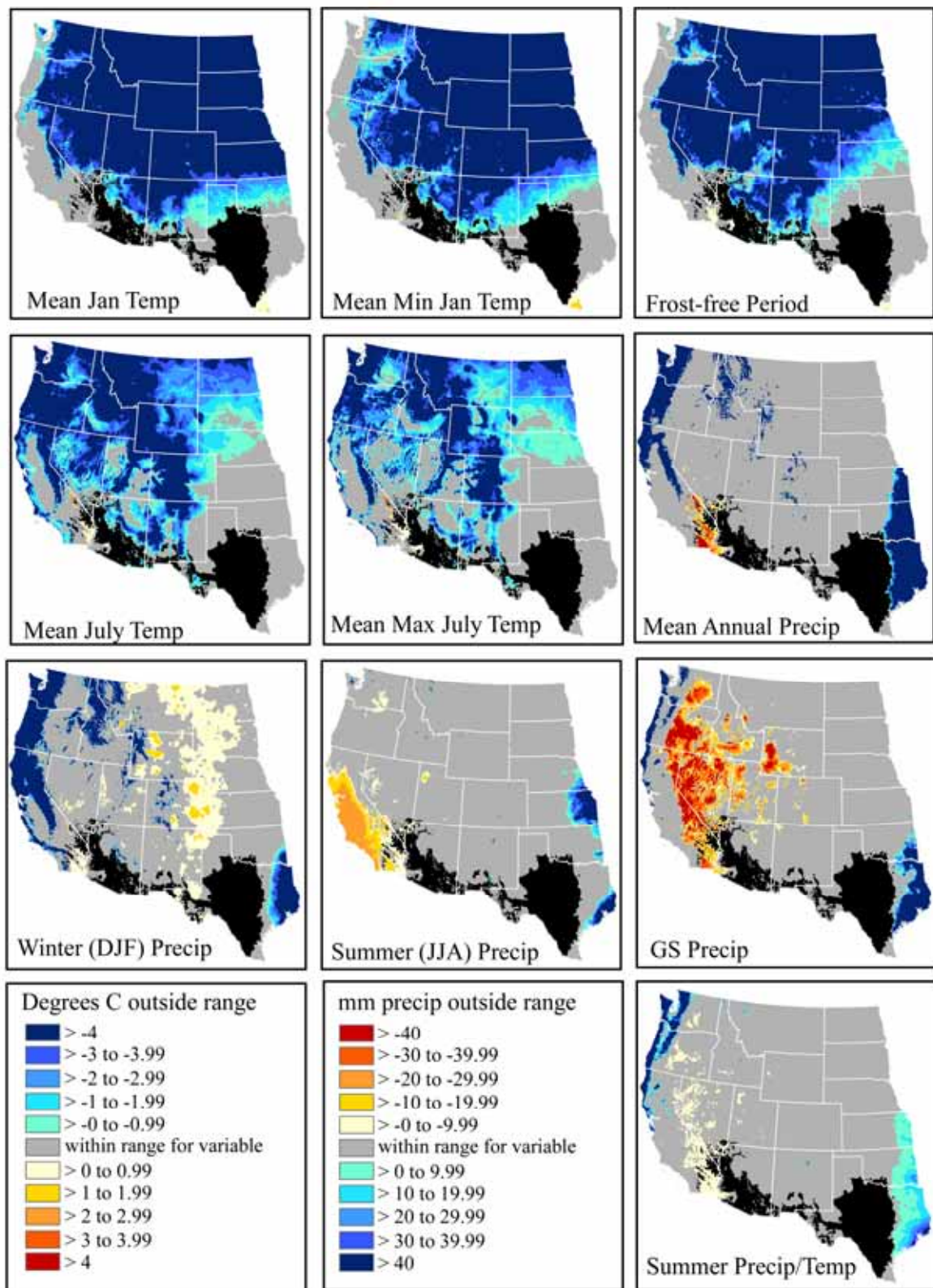


Holmgren et al. Figure 8

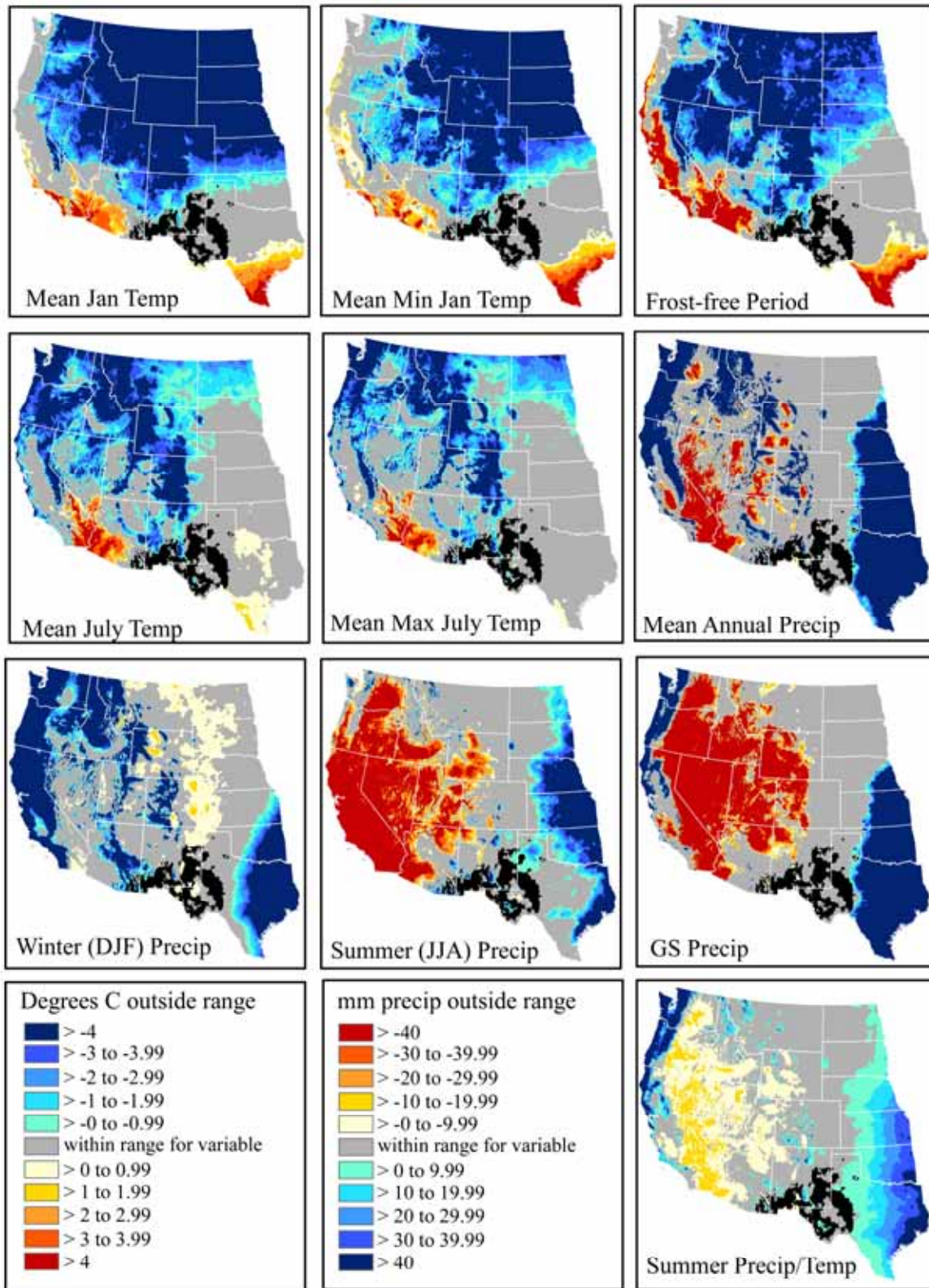


Holmgren et al. Figure 9

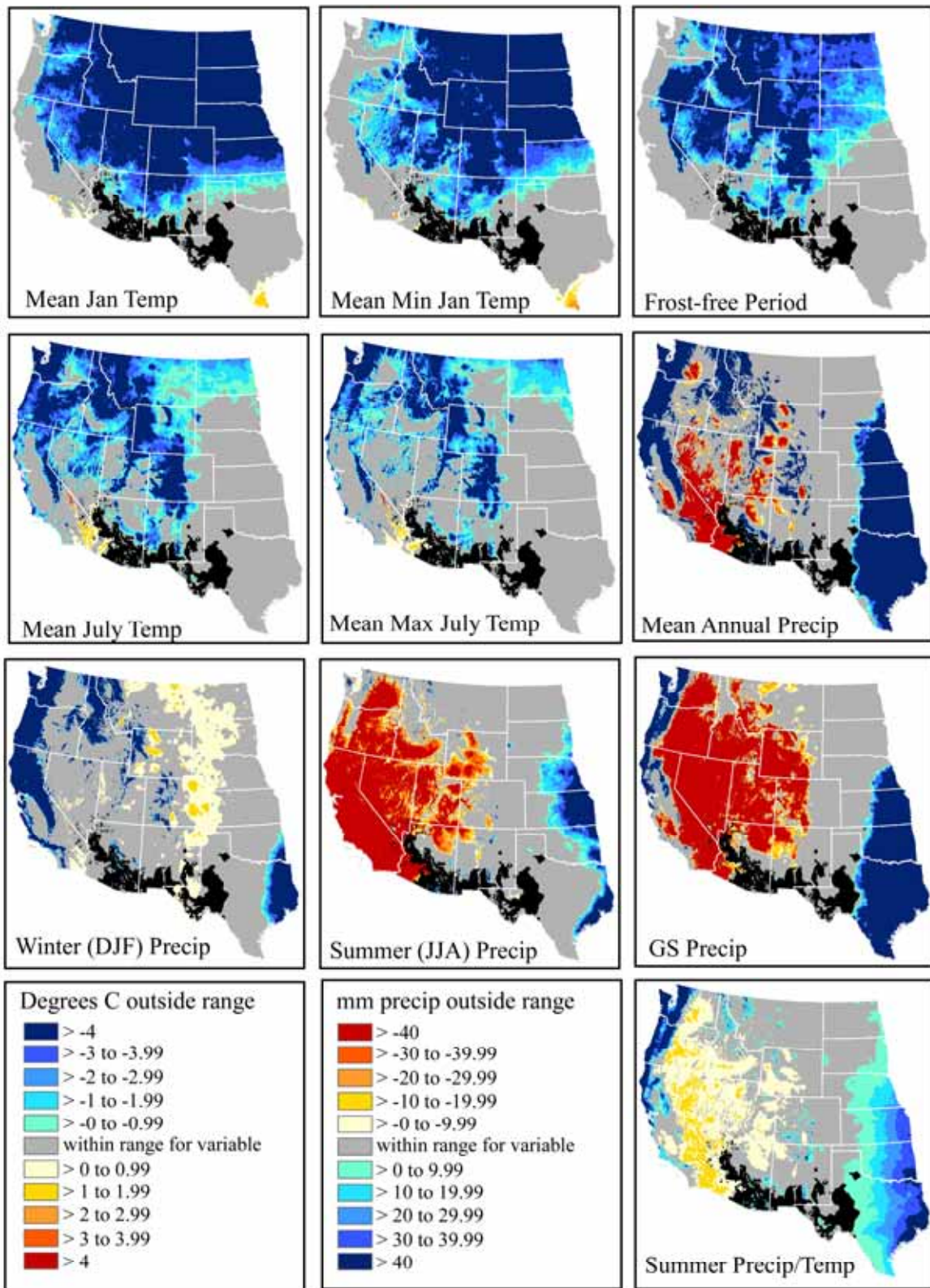




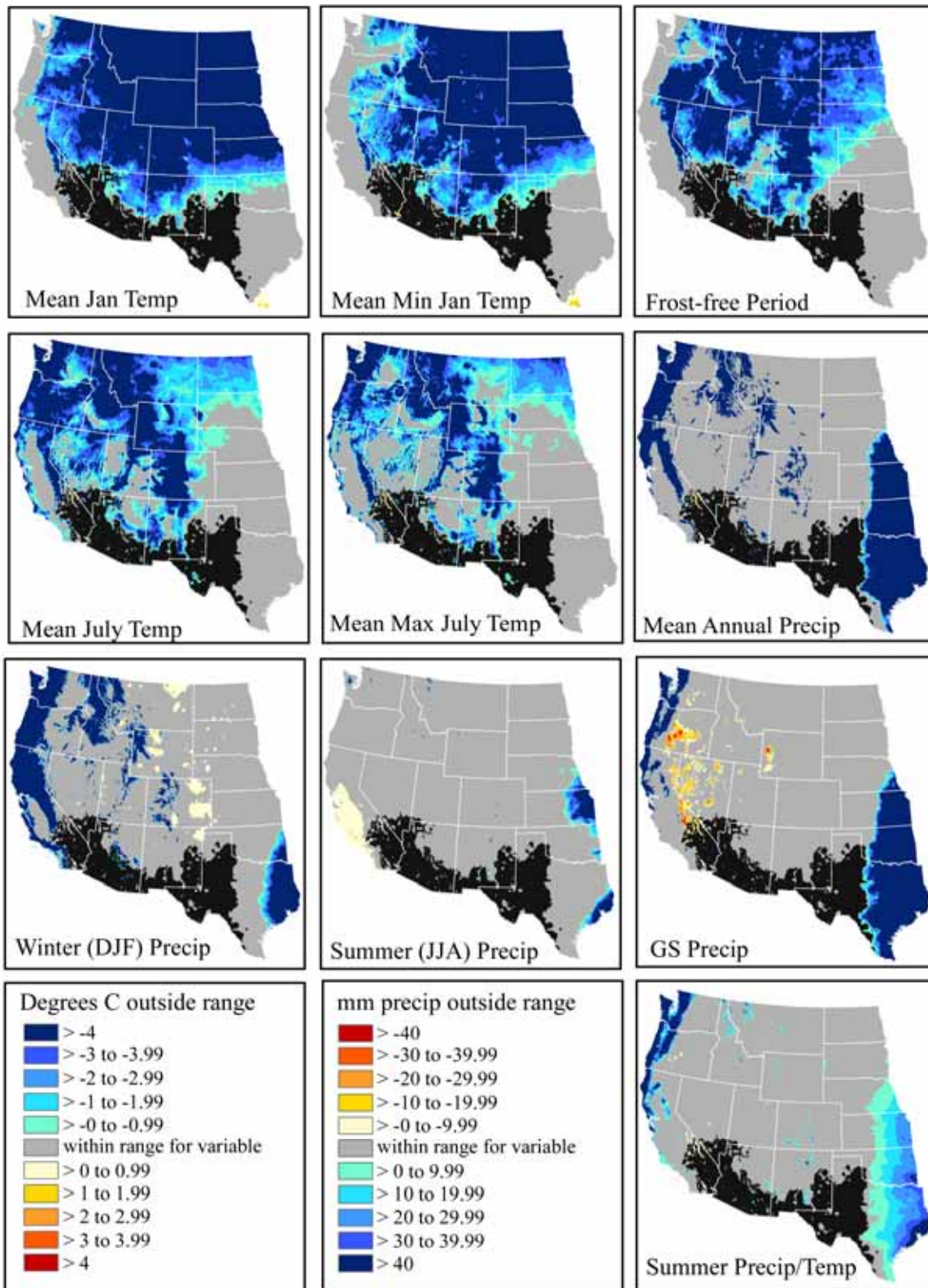
Holmgren et al. Figure 10



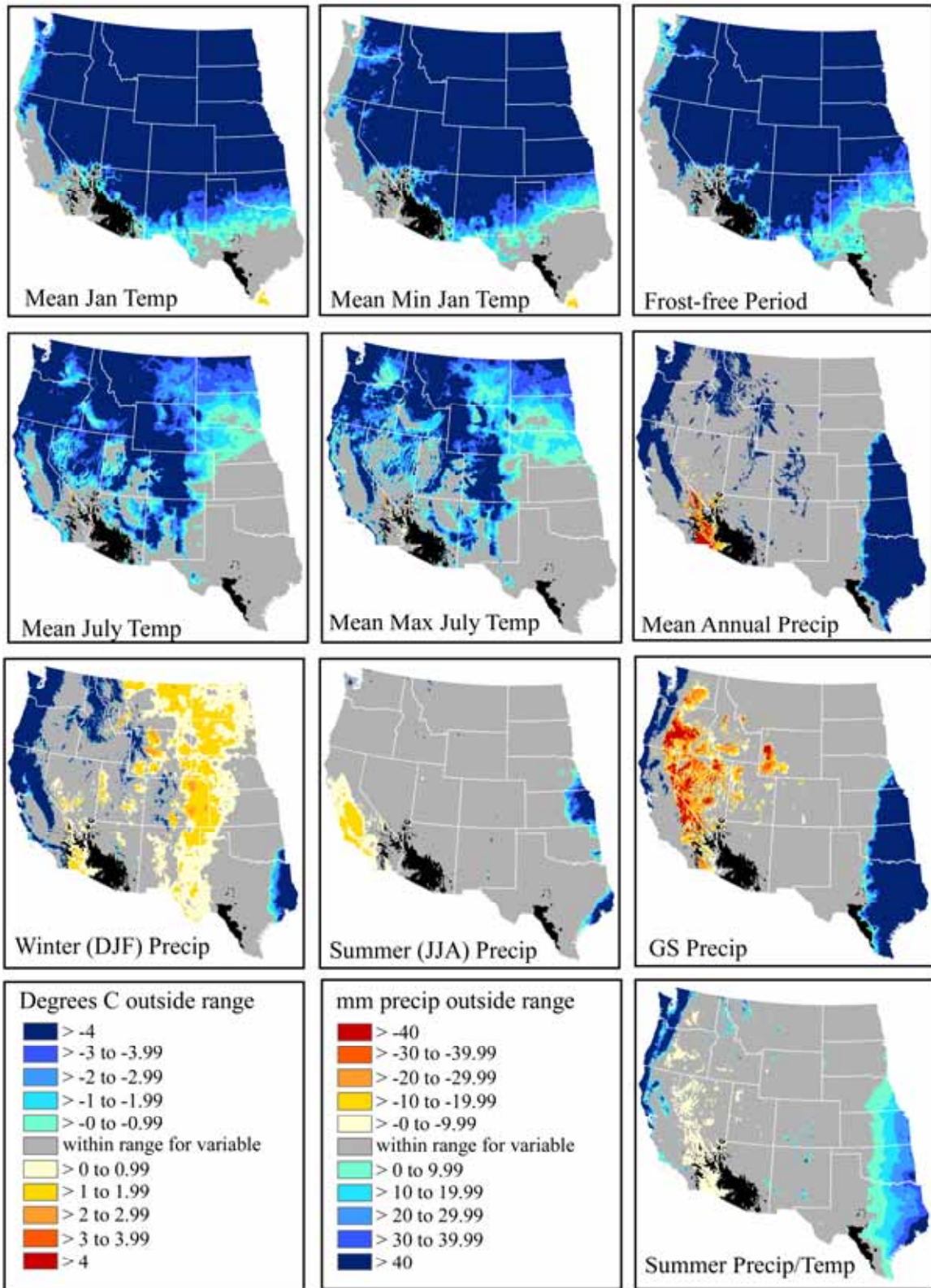
Holmgren et al. Figure 11



Holmgren et al. Figure 12



Holmgren et al. Figure 13



Holmgren et al. Figure 14

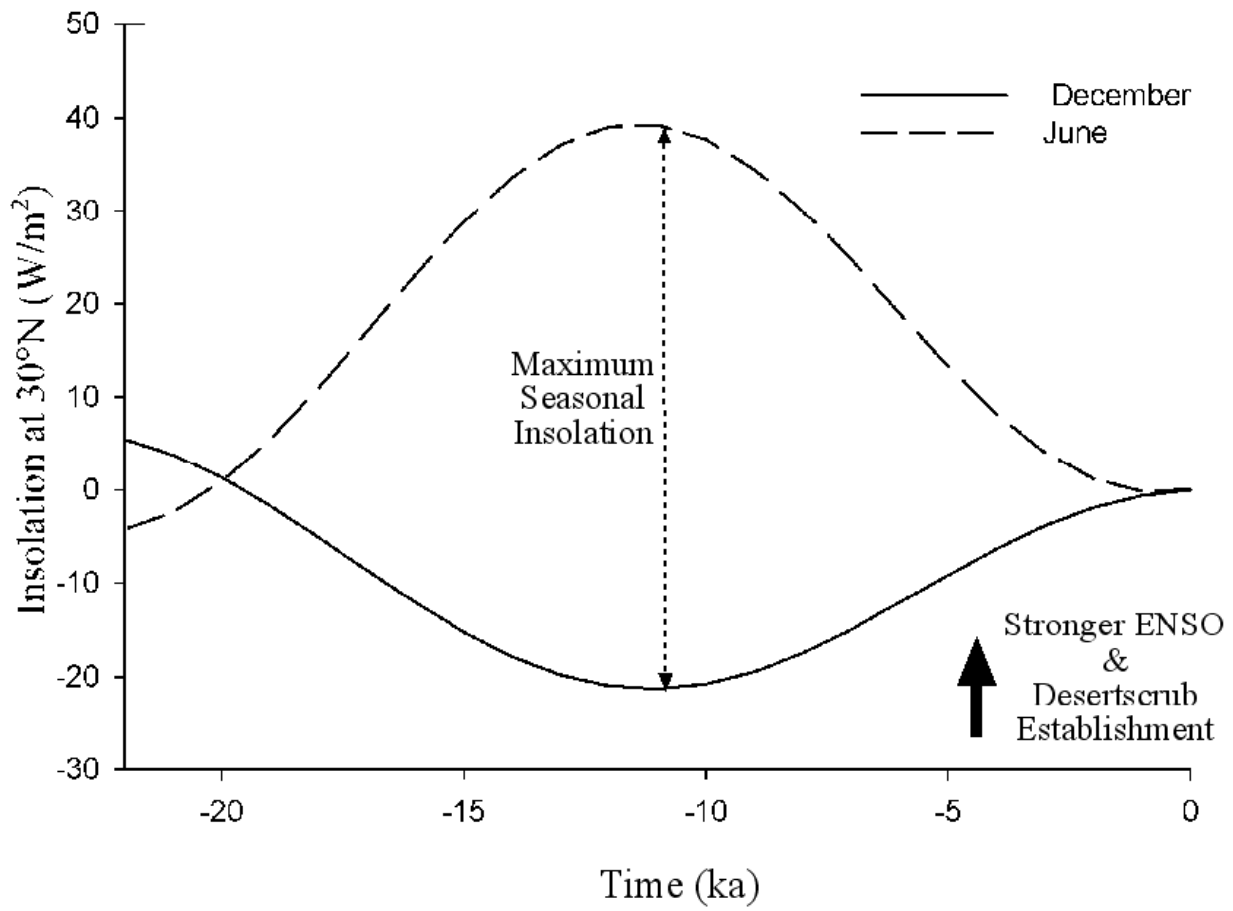


Figure 15. Changes in June and December insolation for 30°N